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## An age-and-cyclin-structured cell population model for healthy and tumoral tissues

Fadia Bekkal Brikci · Jean Clairambault · Benjamin Ribba · Benoît Perthame

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**Abstract** We present a nonlinear model of the dynamics of a cell population divided into proliferative and quiescent compartments. The proliferative phase represents the complete cell cycle ( $G_1-S-G_2-M$ ) of a population committed to divide at its end. The model is structured by the time spent by a cell in the proliferative phase, and by the amount of *Cyclin D*/(*CDK4* or *6*) complexes. Cells can transit from one compartment to the other, following transition rules which differ according to the tissue state: healthy or tumoral. The asymptotic behaviour of solutions of the nonlinear model is analysed in two cases, exhibiting tissue homeostasis or tumour exponential growth. The model is simulated and its analytic predictions are confirmed numerically.

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### 1 Introduction

Living tissues, subject to renewal, are constituted of two different categories of cells: proliferating cells ( $p$ ) and quiescent cells ( $q$ ). Proliferating cells grow and divide, giving “birth” at the end of the cell cycle to new cells, or else transit to the quiescent compartment (often referred to as the  $G_0$  phase), whereas quiescent cells do not grow nor divide but either transit to the proliferative compartment or else stay in  $G_0$  and eventually differentiate according to the tissue type.

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F. Bekkal Brikci · J. Clairambault (✉) · B. Perthame  
Projet BANG, UR Rocquencourt, Institut de Recherche en Informatique et en Automatique,  
BP 105, Le Chesnay Cedex 78150, France  
e-mail: jean.clairambault@inria.fr

B. Ribba  
Institute for Theoretical Medicine, Clinical Pharmacology Department EA3736,  
Faculty of Medicine RTH Laennec, University Lyon 1, Paradin st, 69376 Lyon Cedex 08, France

In a tumour cell population the number of proliferating cells increases continuously as long as it is malignant and active, whereas in a normal (healthy) cell population, the size of the proliferative compartment remains bounded since the total number of cells, proliferating and quiescent, remains constant (at least in the mean, e.g. by averaging over 24 h) so as to maintain tissue homeostasis.

During the first phase (often referred to as  $G_1$ ) of the proliferation cell cycle, until the restriction point ( $R$ ) in late  $G_1$  has been reached, proliferating cells may enter the quiescent  $G_0$  phase and stop proliferation. Indeed, experiments by Zetterberg and Larsson [12,44] showed that the restriction point ( $R$ ) divides the  $G_1$  phase into two parts: before  $R$ , cells may enter the quiescent phase, but once it has been passed, they are committed to proceed through the other phases ( $S$ ,  $G_2$ ,  $M$ , which will not be considered here as such) until cell division.

The switching of cells between quiescence and proliferation depends on extracellular environmental conditions such as growth and antigrowth factors, and is regulated differently in normal and tumour cells, due to differences in the expression of the involved genes.

The model we present in this paper belongs to the category of *physiologically structured population dynamics* (see [3,23,25,35,43] for a general approach). It relies on Partial Differential Equations structured both in age and cyclin content for cell populations. Cell population models with proliferative and quiescent compartments have been investigated by several authors (e.g., Arino, Gyllenberg, Rossa, Sanchez, Webb) who studied their asynchronous exponential growth property [4,17,18,34]. Our goal here is to design a generic cell population model applicable to both cancer and normal tissue growth.

Unlimited tumour growth, by opposition to healthy tissue homeostasis, can be seen in particular as a deregulation of transitions between proliferative and quiescent compartments. Furthermore, recent measurements [19] indicate that cyclins are the most determinant control molecules for phase transitions.

For these reasons, and since we are interested in studying in parallel the behaviour of healthy and tumour cell populations, we structure our cell population model in age and cyclin content, a process which we describe step by step in Sects. 2 and 3. In Sect. 4, we analyse the theoretical properties of the model, which we illustrate by numerical simulations in Sect. 5. Finally, some comments and future prospects are briefly developed in Sect. 6.

## 2 Molecular mechanisms involved in the $G_1$ phase

A variety of proteins are produced during the proliferative cell cycle. The progression of a cell through the cycle is controlled by complexes composed of two proteins: a cyclin (structural protein) and a cyclin dependent kinase (or CDK), an enzyme which is needed for the cyclin to activate. Each phase of the cell cycle has specific *Cyclin/CDK* complexes. In particular, *Cyclin D/(CDK4 or 6)* and *Cyclin E/CDK2* activate during the  $G_1$  phase. Cyclin D is the first cyclin which is synthesized at the beginning of the cell cycle. The level of Cyclin D is controlled by the extracellular environment.

Thus, Cyclin D synthesis is induced by specific growth factors (GFs) [6], and its level decays when cells are deprived of GFs. GFs bind to specific receptors on the external

cytoplasmic membrane, stimulating an intracellular signalling pathway (*Ras/Raf/Map kinase*) by means of which Cyclin D is eventually synthesized (see [2,5,37], for more details). Experiments reported in [20,39,45] show the important role of Cyclin D as a regulator of the transition between  $G_1$  and  $G_0$ . They show that a reduced exit from  $G_1$  to  $G_0$  occurs when Cyclin D is overexpressed, whereas non-overexpressing cells remain in  $G_0$ . Progression through the restriction point ( $R$ ) is essentially related to Cyclin D level in as much as when there is a sufficient amount of Cyclin D, cells pass the restriction point and are committed to proceed through the rest of the cell cycle.

The passage through the restriction point is also dependent on the cyclin dependent kinase inhibitor  $p27(Kip1)$  concentrations, since it has been shown [21] that the intracellular levels of  $p27(Kip1)$  are strongly and negatively correlated to the probability for a cell to pass through the restriction point.

Moreover, Cyclin D makes complexes with either CDK4 or CDK6 kinases and these complexes are able to phosphorylate other proteins which are important for cell progression in the  $G_1$  phase through the restriction point and further for the rest of the cell division cycle: DNA replication, mitosis and cell division [38,39]. It is also known (see e.g. [31] and articles cited therein) that an important role of the *Cyclin D/(CDK4 or 6)* complexes is to bind to  $p27$  and thus fight its inhibitory activity in the passage of cells through the restriction point. This mechanism naturally relates, in a competing manner, *Cyclin D/(CDK4 or 6)* to  $p27(Kip1)$  concentrations, so that the balance between *Cyclin D/(CDK4 or 6)* and  $p27(Kip1)$  concentrations may be seen as a reliable marker of the cells that have passed this restriction point.

In this paper, we are interested in the molecular interactions that are related to the activity of the *Cyclin D/(CDK4 or 6)* complexes in *fast renewing cell populations* (not in individual cells as such). In the same way, the molecular concentrations we use must be understood only as averaged concentrations in the subpopulations considered (quiescent or proliferating), without regard of between cell variability or molecular density distribution within these subpopulations.

Several authors [29,30,32,41] have described and simulated, under specific assumptions, part of the complex molecular reactions involved. Here, we give a simple model to describe the activity of a lumped variable representing the activity of *Cyclin D/(CDK4 or 6)* induced by growth factors, which is known to balance the  $p27(Kip1)$  CDK inhibitor. This switch-like dynamics models the irreversible passage through the restriction point, and it has been also represented in a comparable way by other authors who used models with more variables ([29,41] and other references therein). In fact, it may be shown (material not presented here) that the complex molecular dynamics of *Cyclin D/(CDK 4 OR6)* linking to  $p27$ , as modelled e.g. in [29], may be seen to yield a variable such as  $[Total\ Cyclin\ D]/[Unbounded\ Kip1]$ , representing a balance between active Cyclin D and  $p27$ , that shows a time dynamics very close to that of the lumped variable  $x$  we will describe now. It must be stressed that we use it only as a variable leading the passage of a cell population through the restriction point, which is essential in modelling the exchanges between proliferative and quiescent phases. It is also clear that we would have to be more specific in the design of another Cyclin D model if we wanted to include these  $G_0$  to  $G_1$  exchanges in a detailed model of the cell cycle with phases  $G_1$ ,  $S$ ,  $G_2$  and  $M$ , as presented elsewhere [11].

For its present use in this simplified cell population model of the exchanges between a proliferative and a quiescent compartments, described by a reduced set of equations, we consider it as physiologically plausible enough and sufficient for our needs.

Let  $x$  be the amount of complexes *Cyclin D/(CDK4 or 6)* (or the ratio of concentrations  $[Cyclin D/(CDK4 or 6)]/[Free p27]$ , if one is to take the inhibitory role of p27 into account) in the cell populations considered, and  $w$  another aggregated variable representing the amount of the various molecules (*Ras/Raf/...MAPK*) involved in the production of active Cyclin D. We assume that the stimulation of active Cyclin D production by the aforementioned complex signalling pathways (Ras/Raf/MAPK), that are triggered upstream by growth factors, involves a limited positive feedback from Cyclin D itself, in as much as these growth factors ( $w$ ) are supposed to impinge directly, but in a saturable manner as stated earlier, our lumped variable  $x$ , which may be seen to represent more Cyclin D itself.

We consider  $x$  and  $w$  as regulating variables in a simple nonlinear system of ordinary differential equations (ODEs) with respect to age  $a$  in the  $G_1$  phase. We assume in this system an infinite reservoir, with constant production rate, of  $w$ , only dependent on upstream growth factors, and no (or negligible) consumption by  $x$  (Cyclin D), i.e., no feedback from  $x$ , and participation, in a limited way, of Cyclin D itself in its synthesis, which is triggered by variable  $w$ . Clearly, a simple bilinear equation (e.g.  $\dot{x} = awx - bx$ ,  $\dot{w} = c - dw$ ) to represent this positive feedback of Cyclin D by a law of mass action in its production is not relevant and must be excluded, since solution  $x$  would burst exponentially, as shown by straightforward computation. We thus hypothesize Michaelis–Menten-like dynamics of the lumped variable  $x$  for the contribution of Cyclin D in its synthesis triggered by the aggregated variable  $w$ , replacing  $awx$  by  $\frac{awx}{1+x}$  in the first equation. A simple ODE model with these features can thus be written as follows:

$$\begin{cases} \frac{dx}{da} = c_1 \frac{x}{1+x} w - c_2 x, & x(0) = x_0 > 0, \\ \frac{dw}{da} = c_3 - c_4 w, & w(0) = w_0 > 0. \end{cases} \quad (1)$$

The saturable influence of  $x$  in its production is the only nonlinear part in this system and it is this term which yields its switch-like dynamics: S-shaped monotone convergence from low initial values to a plateau. The coefficient  $c_2$  is the linear degradation rate of Cyclin D,  $c_3$  is the constant production rate of the lumped variable  $w$ , and  $c_4$  is a coefficient describing its linear degradation rate. All coefficients  $c_i$  ( $1 \leq i \leq 4$ ) are strictly positive. Substituting the solution of the second equation of (1), we can reduce (1) to one equation in  $x$ :

$$\frac{dx}{da} = c_1 \frac{x}{1+x} \left( \frac{c_3}{c_4} + e^{-c_4 a} \left( w_0 - \frac{c_3}{c_4} \right) \right) - c_2 x, \quad x(0) = x_0. \quad (2)$$

This holds only for the  $G_1$  phase since we assume that cyclin amount  $x$  and age  $a$  remain constant in  $G_0$  phase. A natural quantity arises in the qualitative analysis of (2), the  $x$  -nullcline:

$$X(a) = \frac{c_1}{c_2} \left( \frac{c_3}{c_4} + e^{-c_4 a} \left( w_0 - \frac{c_3}{c_4} \right) \right) - 1.$$

We assume that  $w_0 \leq \frac{c_3}{c_4}$  and  $c_1 c_3 > c_2 c_4$  which is a way to express that the lumped variable  $w$  is increasing from its initial to its asymptotic value, and that in the early  $G_1$  phase the overall synthesis of the chemicals involved in the progression of the  $G_1$  phase overcomes their degradation. Therefore, a fundamental property of Eq. (2) is that the cyclin concentration  $x$  is limited by:

$$x_{\max} = \frac{c_1 c_3}{c_2 c_4} - 1 > 0. \tag{3}$$

We keep this simple model for our next purpose which is to describe a population of cells, in proliferative or quiescent state.

### 3 Physiologically structured model

In the cell population model we will now present, we consider only two phases: a quiescent one (physiologically  $G_0$ ) and a proliferative one (physiologically  $G_1$ – $S$ – $G_2$ – $M$ ). The cell populations we study are firstly structured by the time spent inside the proliferative phase. This phase represents the complete cell division cycle since cell birth, and time in the phase will hereafter be referred to as  $a$ , for physiological age in the cycle. As proposed in [7, 42], we also structure the model by the amount of (active, not bound to  $p27$ ) *cyclin D/(CDK4 or 6)* complexes, denoted by variable  $x$ . Indeed, as mentioned earlier, this biological quantity is the most important determinant of progression up to the restriction point  $R$  in the late  $G_1$  phase.

Let  $p(t, a, x)$  and  $q(t, a, x)$  be respectively the densities of proliferating and quiescent cells with age  $a$  and content  $x$  in *Cyclin D/(CDK4 or 6)* complexes at time  $t$ . We also consider a “total weighted population”, i.e., an effective population density,  $N$  defined by

$$N(t) = \int_0^{+\infty} \int_0^{+\infty} \left( \varphi^*(a, x) p(t, a, x) + \psi^*(a, x) q(t, a, x) \right) da dx. \tag{4}$$

Here the weights  $\varphi^*$  and  $\psi^*$  represent environmental factors such as growth and anti-growth factors acting on the populations of proliferating and quiescent cells, respectively.  $N$  is the density of the fraction of the total population consisting in the cells that are sensitive to these factors and are thus qualified to influence, for example by a mechanism related to density inhibition, the  $G_0/G_1$  transition. This excludes for instance apoptotic or pre-apoptotic cells.

Exits from the quiescent compartment are due either to apoptosis (physiological cell death) at a nonnegative rate  $d$  or to transition to the proliferative phase according to a “recruitment” or “getting in the cycle” function  $G$ , which is assumed to depend on the total weighted population  $N$ . We also assume that cells may leave the proliferative compartment for the quiescent one according to a “demobilisation” or “leak” function

$L(a, x)$ . These functions  $L$  and  $G$ , which represent the core mechanism of exchange from proliferation to quiescence and vice-versa, respectively, in our model, will be described in Sect. 3.2. Quiescent cells are assumed to be halted in their individual physiological evolution, in the sense that once a cell becomes quiescent, its age and cyclin content are fixed at their last values as belonging to a proliferative cell. In this way, quiescent cells do not age and do not change their cyclin content.

The model, the coefficients of which, unless otherwise specified, will always be strictly positive, may be written as

$$\begin{cases} \frac{\partial}{\partial t} p(t, a, x) + \frac{\partial}{\partial a} (\Gamma_0 p(t, a, x)) + \frac{\partial}{\partial x} (\Gamma_1(a, x) p(t, a, x)) \\ = - (L(a, x) + F(a, x) + d_1) p(t, a, x) + G(N(t)) q(t, a, x), \\ \frac{\partial}{\partial t} q(t, a, x) = L(a, x) p(t, a, x) - (G(N(t)) + d_2) q(t, a, x). \end{cases} \quad (5)$$

The parameter  $\Gamma_0$  denotes the evolution speed of physiological age  $a$  with respect to time  $t$ , which is assumed to be constant in this model; if for example  $\Gamma_0 = 0.5$ , it means that physiological age  $a$  evolves twice as slowly as real time  $t$ . Similarly, the function  $\Gamma_1$  represents the evolution speed of *Cyclin D/(CDK4 or 6)* with respect to time, i.e.,  $\Gamma_0$  times the speed  $\frac{dx}{da}$  of  $x$  with respect to physiological age  $a$ , which is given by Eq. (2), with  $w_1 = w_0 - \frac{c_3}{c_4} < 0$ :

$$\frac{dx}{da} = \frac{\Gamma_1(a, x)}{\Gamma_0} = c_1 \frac{x}{1+x} \left( \frac{c_3}{c_4} + e^{-c_4 a} w_1 \right) - c_2 x.$$

The parameters  $d_1, d_2$  are apoptosis rates for proliferating and quiescent cells respectively, and  $F(a, x)$  is the fraction of cells which leave the proliferative population to divide according to a process which will be described later.

To complete the description of the model (5), we specify initial conditions:

$$p(0, a, x) = p_i(a, x), \quad a \geq 0, \quad x \geq 0, \quad (6)$$

and

$$q(0, a, x) = q_i(a, x), \quad a \geq 0, \quad x \geq 0, \quad (7)$$

where  $p_i$  and  $q_i$  are nonnegative functions.

In the following section, we describe a condition for entering the proliferative phase (physiologically in  $G_1$ ) at age  $a = 0$ , but note that no such condition is needed at  $x = 0$ , since cyclin level  $x = 0$  is never reached in the process described by (2) because  $\Gamma_1$  vanishes at  $x = 0$ .

### 3.1 Unequal division

The distribution of the cellular material between daughter cells is assumed to be unequal. Due to variability in cyclin content between the two daughter cells when division occurs (see [22,40] for a relation with aging), some cells may inherit a larger amount of certain proteins such as cyclins, whereas others start the cycle with a smaller

amount of the same proteins. We consider that the distribution of the amount of *cyclin D*/(*CDK4* or *6*) between the two daughter cells is given by a conditional density  $f(a, x, y)$  such that the probability for a daughter cell, born from a mother cell with content  $y$  in *Cyclin D*/(*CDK4* or *6*) with  $x_1 \leq y \leq x_2$ , to have itself content  $x$  in *Cyclin D* is

$$\frac{\int_{x_1}^{x_2} f(a, y, x) dy}{\int_0^{+\infty} f(a, y, x) dy}.$$

We also consider that all newborn cells are at birth in the proliferative compartment. Then we have the following condition at the boundary  $a = 0$ ,

$$p(t, 0, x) = \frac{2}{\Gamma_0} \int_0^{+\infty} \int_0^{+\infty} f(a, x, y) p(t, a, y) da dy. \tag{8}$$

The following conditions follow from the earlier interpretation:

- (1) The amount of cyclin in a daughter cell is smaller than that of its mother cell at the time of division:

$$f(a, x, y) = 0 \quad \text{if } x > y.$$

- (2) The amount  $y$  of cyclin of the mother cell is exactly conserved and shared by the two daughters

$$f(a, x, y) = f(a, y - x, y)$$

and

$$\int_0^{+\infty} f(a, x, y) dx = F(a, y),$$

where  $F(a, y)$  is the fraction of cells which at age  $a$  and cyclin content  $y$  leave the proliferative phase to undergo cell division. These cells disappear and are replaced by two daughter cells which immediately restart in the proliferative phase for their own part.

We choose for  $F$  a standard Hill function:

$$F(a, y) = \frac{k_1 y^{\gamma_1}}{k_2^{\gamma_1} + y^{\gamma_1}} \mathbb{1}_{[A^*, +\infty[}(a),$$

where  $\mathbb{1}_J$  is the indicator function of interval  $J$  (i.e.,  $\mathbb{1}_J(x) = 1$  if  $x \in J$ , else 0),  $k_1$  is the maximum effect of Cyclin D on cell division,  $k_2$  is the cyclin content yielding its half-maximum effect,  $\gamma_1$  is the Hill coefficient tuning the steepness of the switch

at  $y = k_2$  between 0 and  $k_1$  for the effect, and  $A^*$  is the minimal cell cycle duration; we also consider that cyclin repartition is uniform after division:

$$f(a, x, y) = \frac{F(a, y)}{y} \mathbb{1}_{[0, y]}(x).$$

### 3.2 Transition control between proliferation and quiescence

Lynch et al. [24] have studied the effect of a transcription factor that inhibits the proliferation of human colon cancer cells by reducing Cyclin D gene expression and hence inducing an accumulation of cells in  $G_0$ . Deprivation of growth factors (GFs) in the early  $G_1$  phase also leads to a low Cyclin D level in cells, when *Cyclin D/CDK4* is the only Cyclin/CDK complex present, and the low level of Cyclin D is such that cells exit  $G_1$  to enter the  $G_0$  phase.

We firstly assume that transition from proliferation to quiescence depends on age and cyclin content of the cell. At the beginning of the cell cycle, the cell remains in the proliferative phase but from a certain age on, if its content in *Cyclin D/(CDK4 or 6)* is not high enough, the cell passes to the quiescent phase.

We set the “demobilisation” function from proliferation to quiescence as:

$$L(a, x) = A_1 \frac{A_2^{\gamma_2}}{A_2^{\gamma_2} + x^{\gamma_2}} \mathbb{1}_{[\bar{A}, +\infty[}(a).$$

In this setting, if the Hill exponent  $\gamma_2$  is high enough (e.g. between 5 and 10),  $A_2$  is the “switching” cyclin content value  $x$  beyond which the “leak” function  $L$  becomes close to zero, preventing escape to quiescence. At this point, the cell population is irreversibly committed to proceed into the proliferative phase until division. The value  $A_2$  may thus be interpreted as the *Cyclin D/(CDK4 or 6)* level determining the restriction point, in the sense of Zetterberg and Larsson [44]. The steep switch in function  $L$  represents the fact that transition from  $G_1$  to  $G_0$  is preceded by a rapid increase in physiological cyclin-dependent kinase inhibitors (CDKIs), such as *p15*, *p21*, and especially *p27*, significantly reducing the activities of the  $G_1$  CDKs [36].

Secondly, as regards the reverse transition from quiescence to proliferation (the “recruitment” function), it may be assumed to depend on the total population of cells (see e.g. [15]). In the present model we assume, as stated above, that the recruitment depends on those cells (subpopulation  $N$  of the total population) that are “qualified” to be sensitive to growth or anti-growth factors. Two cases are studied here, since we assume healthy tissues and tumours to behave differently with respect to the transition from  $G_0$  to  $G_1$ :

(1) For a healthy tissue, the fraction of the quiescent cells that re-enter the proliferative phase decreases when the total population grows; in this case we define the recruitment function  $G$  as a monotone Hill function of  $N$  decreasing to zero, representing density inhibition:

$$G(N) = \frac{\alpha_1 \theta^n}{\theta^n + N^n}, \tag{9}$$



where the parameters  $\alpha_1$ ,  $\theta$  and  $n$  have the same meaning as  $k_1$ ,  $k_2$  and  $\gamma_1$  for function  $F(a, x)$ , see, except that the switch is from  $\alpha_1$  to zero instead of zero to  $k_1$ .

(2) For a tumour, the fraction of the quiescent cells that enter the proliferative phase is also decreasing with the total population, but asymptotically tends towards a non-zero value when the population is very large, representing a population density inhibition less complete than in healthy tissues. So, in the tumoral case, we take  $G$  as follows:

$$G(N) = \frac{\alpha_1 \theta^n + \alpha_2 N^n}{\theta^n + N^n} \quad \text{with } 0 < \alpha_2 < \alpha_1 \text{ to ensure decay.} \tag{10}$$

We then analyse the qualitative behaviour of the model, which enables us to distinguish a healthy tissue from a tumour by the asymptotic behaviour of their cell densities.

### 4 Analysis and qualitative behaviour

We now perform the analysis of the model developed above. We use the method of Generalised Relative Entropy (GRE), which was recently introduced by Michel et al. [26–28]. It allows us to deal with the model in its full generality. The GRE method is based on the study of eigenproblems for linearised systems and relies on the Krein–Rutman theorem for compact positive operators (see [13]). The use of other methods is possible, for instance methods based on the theory of abstract semigroups with structural conditions as described below or, in special cases, reduction to differential equations with delay (see [1] for instance).

#### 4.1 Linear problem

The linear problem associated with (5) assumes that the transition rate from the quiescent to the proliferative state is a constant  $\tilde{G}$ , such that:

$$\begin{cases} \frac{\partial p}{\partial t} + \frac{\partial(\Gamma_0 p)}{\partial a} + \frac{\partial(\Gamma_1(a,x)p)}{\partial x} \\ \quad = - (L(a, x) + F(a, x) + d_1) p(t, a, x) + \tilde{G}q(t, a, x), \\ \frac{\partial q}{\partial t} = L(a, x) p(t, a, x) - (\tilde{G} + d_2)q(t, a, x), \\ p(t, 0, x) = \frac{2}{\Gamma_0} \int_0^{+\infty} \int_0^{+\infty} f(a, x, y) p(t, a, y) da dy. \end{cases} \tag{11}$$

Gyllenberg and Webb, studying a similar linear problem by methods relying on the theory of continuous semigroups, proved the existence and uniqueness of a positive solution for the system, and also proved that it has the property of asynchronous exponential growth [17] (note that this results in fact from variants of the Krein–Rutman theorem [13]). It means the following: the growth rate associated with (11)-the so-called Malthus parameter- i.e., the first eigenvalue of the problem, also referred to as the Perron eigenvalue in the finite-dimensional case, is defined as the only  $\lambda$  yielding a nonnegative steady state  $(P, Q)$  solution of:

$$\begin{cases} \lambda P + \frac{\partial(\Gamma_0 P)}{\partial a} + \frac{\partial(\Gamma_1(a,x)P)}{\partial x} = -(L(a,x) + F(a,x) + d_1) P + \tilde{G} Q, \\ (\lambda + \tilde{G} + d_2) Q = L(a,x) P, \\ P(0,x) = \frac{2}{\Gamma_0} \int_0^{+\infty} \int_0^{+\infty} f(a,x,y) P(a,y) da dy. \end{cases} \tag{12}$$

Of course this system can be reduced to a single equation on  $P$ , and  $\lambda$  depends continuously upon  $\tilde{G}$ . For an age-structured model it can be solved by the method of characteristics.

At this stage, it is also useful to introduce the adjoint system, following the theory developed in [26]. The adjoint problem reads:

$$\begin{cases} \lambda \varphi - \Gamma_0 \frac{\partial \varphi}{\partial a} - \Gamma_1(a,x) \frac{\partial \varphi}{\partial x} - 2 \int_0^{+\infty} \varphi(0,y) f(a,y,x) dy \\ = -(L(a,x) + F(a,x) + d_1) \varphi + L(a,x) \psi, \\ (\lambda + \tilde{G} + d_2) \psi = \tilde{G} \varphi, \end{cases} \tag{13}$$

with  $\varphi \geq 0, \psi \geq 0$ , and normalisation by the condition:

$$\int_0^{+\infty} \int_0^{+\infty} \left( \varphi(a,x) P(a,x) + \psi(a,x) Q(a,x) \right) da dx = 1.$$

These equations imply that solutions of (11) satisfy:

$$\begin{aligned} & \int_0^{+\infty} \int_0^{+\infty} \left( \varphi(a,x) p(t,a,x) + \psi(a,x) q(t,a,x) \right) da dx \\ & = e^{\lambda t} \int_0^{+\infty} \int_0^{+\infty} \left( \varphi(a,x) p_i(a,x) + \psi(a,x) q_i(a,x) \right) da dx, \end{aligned} \tag{14}$$

a condition that clearly expresses exponential growth with rate  $\lambda$ .

In the following, we explain why these growth rates can allow us to qualitatively distinguish between healthy and tumoral tissues. This will be done according to the behaviour of the first eigenvalue  $\lambda$  for the system linearised at the extreme values of the recruitment function  $G$ ,  $G(0) = \alpha_1$  and  $G_\infty = \alpha_2$ . We then present the main features of the nonlinear problem using a method introduced in [10] enforcing conditions on the linearised problem.

#### 4.2 Healthy tissue: non-extinction (a priori bound from below)

Coming back to the nonlinear problem, we first state conditions enforcing non-extinction. For this purpose, we need to investigate the linearised problem around  $N(t) = 0$  and its first eigenvalue.

We assume that the coefficients are such that the following qualitative properties hold true:

- (H1) For  $\tilde{G} = G(0) = \alpha_1$ , the first eigenvalue, denoted here as  $\lambda_0$ , of system (12) and its adjoint (13), is positive ( $\lambda_0 > 0$ ).
- (H2) For the corresponding solutions to (12) and (13) obtained for  $\tilde{G} = G(0)$ ,  $(p_0, q_0)$  and  $(\varphi_0, \psi_0)$ , there exists a constant  $C_0$ , such as  $\varphi^* \leq C_0\varphi_0$  and  $\psi^* \leq C_0\psi_0$  ( $\varphi^*, \psi^*$  as defined in (4)).

These assumptions express that even if there are very few cells in the healthy tissue, the population can be regenerated spontaneously. Note that if we a priori assume the existence of a maximum possible age, then the positivity of  $\varphi_0$  and  $\psi_0$  implies that (H2) is automatically satisfied for any pair of bounded functions  $(\varphi^*, \psi^*)$ .

**Lemma 1** *Under hypotheses (H1) and (H2) there exists a number  $m_0$  such that:*

$$\int_0^{+\infty} \int_0^{+\infty} \left( \varphi_0(a, x)p(t, a, x) + \psi_0(a, x)q(t, a, x) \right) da dx \geq m_0 > 0 \quad \forall t \geq 0.$$

*Proof of Lemma 1* Indeed, setting:

$$S_0(t) = \int_0^{+\infty} \int_0^{+\infty} \left( \varphi_0(a, x)p(t, a, x) + \psi_0(a, x)q(t, a, x) \right) da dx,$$

and using (5) and (13), we have, by the same duality principle used for deriving (14):

$$\frac{dS_0}{dt}(t) = \lambda_0 S_0(t) - \frac{\lambda_0 + d_2}{G(0)} (G(0) - G(N(t))) \int_0^{+\infty} \int_0^{+\infty} \psi_0(a, x)q(t, a, x) da dx,$$

whence, because  $p \geq 0$ :

$$\frac{dS_0}{dt}(t) \geq \left( \frac{\lambda_0 + d_2}{G(0)} G(N(t)) - d_2 \right) S_0(t).$$

Therefore, firstly:

$$S_0(t) \geq S_0(0) \exp \left\{ \int_0^t \left( \frac{\lambda_0 + d_2}{G(0)} G(N(u)) - d_2 \right) du \right\} > 0.$$

Now, if the minimum of  $S_0(t)$  is attained at  $t = 0$ , then  $S_0(t) \geq S_0(0) > 0$ ; otherwise it is attained at some point  $t_0$  (possibly at infinity), where  $\frac{dS_0}{dt}(t_0) = 0$ , which yields:

$$G(N(t_0)) \frac{\lambda_0 + d_2}{G(0)} - d_2 \leq 0,$$

or equivalently:

$$G(N(t_0)) \leq \frac{d_2}{\lambda_0 + d_2} G(0).$$

Since  $G$  is continuous and decreasing to 0, there exists a number  $N_0 > 0$  such that:

$$G(N_0) = \frac{d_2}{\lambda_0 + d_2} G(0).$$

Thus  $G(N(t_0)) \leq G(N_0)$ , which implies that  $N(t_0) \geq N_0 > 0$  and by (H2), for all  $t \geq 0$ ,  $S_0(t) \geq S_0(t_0) \geq \frac{N_0}{C_0}$ . Therefore we have proved the result with

$$m_0 = \min \left( \frac{N_0}{C_0}, S_0(0) \right).$$

### 4.3 Healthy tissue: limited growth (a priori bound from above)

We also need conditions enforcing tissue homeostasis, meaning that the total cell population density is limited in its growth: for this purpose we assume that for some  $\lambda_{\text{lim}}$  with  $-d_2 < \lambda_{\text{lim}} < 0$  (recall that  $d_2$  is the apoptosis rate in the quiescent phase), there exist a real number  $N_{\text{lim}} > 0$  and nonnegative functions  $(\varphi_{\text{lim}}, \psi_{\text{lim}})$  satisfying:

- (H3) For  $\tilde{G} = G(N_{\text{lim}}) = \frac{\alpha_1 \theta^n}{\theta^n + N_{\text{lim}}^n}$ , the first eigenvalue, denoted here as  $\lambda_{\text{lim}}$ , of system (12) and its adjoint(13), is negative ( $\lambda_{\text{lim}} < 0$ ).
- (H4) For the corresponding solutions to (12) and (13) obtained for  $\tilde{G} = G(N_{\text{lim}})$ ,  $(p_{\text{lim}}, q_{\text{lim}})$  and  $(\varphi_{\text{lim}}, \psi_{\text{lim}})$ , there exists a constant  $C_{\text{lim}}$ , such that  $\varphi^* \geq C_{\text{lim}} \varphi_{\text{lim}}$  and  $\psi^* \geq C_{\text{lim}} \psi_{\text{lim}}$ .

These assumptions express that a large excess of cells is regulated negatively and thus the population remains bounded.

**Lemma 2** *Under hypotheses (H3) and (H4) there is a number  $m_{\text{lim}}$  such that:*

$$\int_0^{+\infty} \int_0^{+\infty} (\varphi_{\text{lim}}(a, x)p(t, a, x) + \psi_{\text{lim}}(a, x)q(t, a, x)) da dx \leq m_{\text{lim}}, \quad \forall t \geq 0.$$

*Proof of Lemma 2* Indeed as in the proof of Lemma 1, we define

$$S_{\text{lim}}(t) = \int_0^{+\infty} \int_0^{+\infty} (\varphi_{\text{lim}}(a, x)p(t, a, x) + \psi_{\text{lim}}(a, x)q(t, a, x)) da dx.$$

Then,

$$\begin{aligned} \frac{dS_{\text{lim}}}{dt}(t) &= \lambda_{\text{lim}}S_{\text{lim}}(t) - (G(N_{\text{lim}}) - G(N(t))) \frac{\lambda_{\text{lim}} + d_2}{G(N_{\text{lim}})} \\ &\quad \times \int_0^{+\infty} \int_0^{+\infty} \psi_{\text{lim}}(a, x)q(t, a, x)da dx \\ &\leq \lambda_{\text{lim}}S_{\text{lim}}(t) - (G(N_{\text{lim}}) - G(C_{\text{lim}}S_{\text{lim}}(t))) \frac{\lambda_{\text{lim}} + d_2}{G(N_{\text{lim}})} \\ &\quad \times \int_0^{+\infty} \int_0^{+\infty} \psi_{\text{lim}}(a, x)q(t, a, x)da dx, \end{aligned}$$

because, due to assumption (H4):

$$N(t) \geq C_{\text{lim}}S_{\text{lim}}(t).$$

Therefore, following the arguments,

$$S_{\text{lim}}(t) \leq \max \left( S_{\text{lim}}(0), \frac{N_{\text{lim}}}{C_{\text{lim}}} \right) := m_{\text{lim}}.$$

#### 4.4 Tumoral tissue: unlimited growth

Following Sect. 3.2, in the tumoral case, the recruitment function from quiescence to proliferation is given by the function (10):

$$G(N) = \frac{\alpha_1\theta^n + \alpha_2N^n}{\theta^n + N^n}.$$

Here, we expect that the population will show unlimited growth, and a condition leading to this property is:

- (H5) For  $\tilde{G} = G(\infty) = \alpha_2$ , the first eigenvalue, denoted here as  $\lambda_1$ , of system (12) and its adjoint (13), is strictly positive ( $\lambda_1 > 0$ ).
- (H6) For the corresponding solutions to (12) and (13) obtained for  $\tilde{G} = G(\infty)$ ,  $(p_1, q_1)$ ,  $(\varphi_1, \psi_1)$ , there exists a constant  $C_1$ , such that  $\varphi^* \geq C_1\varphi_1$  and  $\psi^* \geq C_1\psi_1$ .

**Lemma 3** Under hypotheses (H5) and (H6), we have

$$N(t) \xrightarrow{t \rightarrow +\infty} +\infty,$$

and

$$\int_0^{+\infty} \int_0^{+\infty} \left( \varphi_1(a, x)p(t, a, x) + \psi_1(a, x)q(t, a, x) \right) da dx \xrightarrow{t \rightarrow +\infty} +\infty.$$

*Proof of Lemma 3* Indeed, we define:

$$S_1(t) = \int_0^{+\infty} \int_0^{+\infty} (\varphi_1(a, x)p(t, a, x) + \psi_1(a, x)q(t, a, x)) da dx.$$

We have, since  $G$  is decreasing,

$$\begin{aligned} \frac{dS_1}{dt}(t) &= \lambda_1 S_1(t) - (G(\infty) - G(N(t))) \frac{\lambda_1 + d_2}{G(\infty)} \int_0^{+\infty} \int_0^{+\infty} \psi_1(a, x)q(t, a, x) da dx \\ &\geq \lambda_1 S_1(t). \end{aligned}$$

This implies that  $S_1(t)$  has exponential growth. Finally, due to (H6) we have  $N(t) \geq C_1 S_1(t)$ . We conclude that  $N(t)$  tends to infinity and Lemma 3 is proved.

Note that we can also consider the case  $\lambda_1 = 0$  in (H5). In this case,  $S_1(t)$  would have unlimited, but not exponential growth, and we would be closer to experimental observations of tumour growth [9, 14]. Such polynomial-like growth behaviour may actually be obtained in the model by incorporating specific exchange functions  $L$  and  $G$  between  $G_0$  and  $G_1$  actually yielding  $\lambda_1 = 0$ , as shown elsewhere [8].

#### 4.5 Steady state for healthy tissue

Numerical experiments show that in the case of healthy tissues, the cell population goes to a steady state that represents tissue homeostasis. This can be analysed in the present model, since a steady state  $(p^*, q^*)$  for (5) satisfies the following system of equations:

$$\begin{cases} \frac{\partial(\Gamma_0 p^*)}{\partial a} + \frac{\partial(\Gamma_1(a, x) p^*)}{\partial x} \\ \quad = - (L(a, x) + F(a, x) + d_1) p^*(a, x) + G(N^*) q^*(a, x), \\ L(a, x) p^*(a, x) - (G(N^*) + d_2) q^*(a, x) = 0, \\ p^*(0, x) = \frac{2}{\Gamma_0} \int_0^{+\infty} \int_0^{+\infty} f(a, x, y) p^*(a, y) da dy, \end{cases}$$

with

$$N^* = \int_0^{+\infty} \int_0^{+\infty} \left( \varphi^*(a, x) p^*(a, x) + \psi^*(a, x) q^*(a, x) \right) da dx. \tag{15}$$

Substituting  $q^*$ , we obtain the equation:

$$\begin{cases} \frac{\partial(\Gamma_0 p^*)}{\partial a} + \frac{\partial(\Gamma_1(a,x)p^*)}{\partial x} = -r(a, x, N^*)p^*(a, x), \\ p^*(0, x) = \frac{2}{\Gamma_0} \int_0^{+\infty} \int_0^{+\infty} f(a, x, y)p^*(a, y) da dy, \end{cases} \tag{16}$$

with

$$r(a, x, N^*) = \frac{d_2}{G(N^*) + d_2} L(a, x) + F(a, x) + d_1.$$

**Proposition 4.1** *With the assumptions (H1), (H2), (H3) and (H4), the system (15), (16) has a unique positive solution  $(p^*, q^*)$ .*

*Proof of Proposition 4.1* Equation (16) is an eigenproblem as is Eq. (12); therefore, given a steady state population number  $N^*$ , we can find  $\lambda(N^*)$  solution of (12). We know by (H1), (H2) that  $\lambda(0) > 0$  and by (H3) and (H4) that  $\lambda(N_{lim}) < 0$ . Because  $\lambda(N^*)$  is continuous, and decreasing since  $r$  is increasing with  $N^*$ , there is a unique value of  $N^*$  such that  $\lambda(N^*) = 0$ . It remains to normalise the eigenvectors properly to obtain (15).

*Remark 1* From (H5) and (H6) we deduce that, for tumour growth, (5) has no steady state.

### 5 Numerical simulations

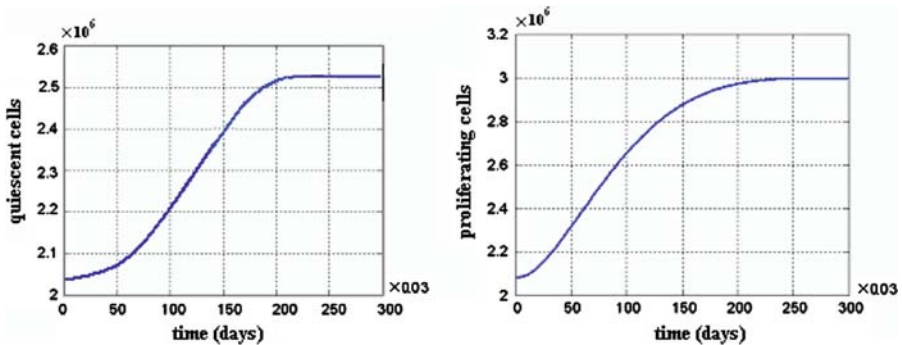
Some of the model parameters are known for specific cells in other settings for functions used in a similar context. For stem cells, the parameters are well documented in the literature on the subject (see e.g. [15]), and we chose parameter values according to these sources, knowing that actually identifying these values on other cell lines would be necessary for experimental validation of the model. Parameter values come from [41] for  $c_1, c_2, c_4$ , and from [15] for  $d_1, d_2, \alpha_1, n, \theta$ . The factors determining transition from proliferation to quiescence have been proved to be directly related to Cyclin D [6,20,24,45], but the exact rates are not known. In the same way, parameters  $A_1, A_2, k_1, k_2, \gamma_1, \gamma_2, w_0, \Gamma_0, \alpha_2, A^*, \bar{A}$  are not known, but the choices made have been determined either by fixing arbitrary values -as likely as possible, e.g.  $A^* = 24$  h,  $\bar{A} = 15$  h or by giving a range of values within which our numerical simulations exhibit a behaviour illustrating the theoretical properties of the model demonstrated under assumptions (H1)–(H6) (Table 1).

In our numerical simulations, we have used  $\varphi^* = \psi^* \equiv 1$ , which means that all cells are eligible for recruitment control (by cell density inhibition, growth or antigrowth factors) in phase  $G_1$ .

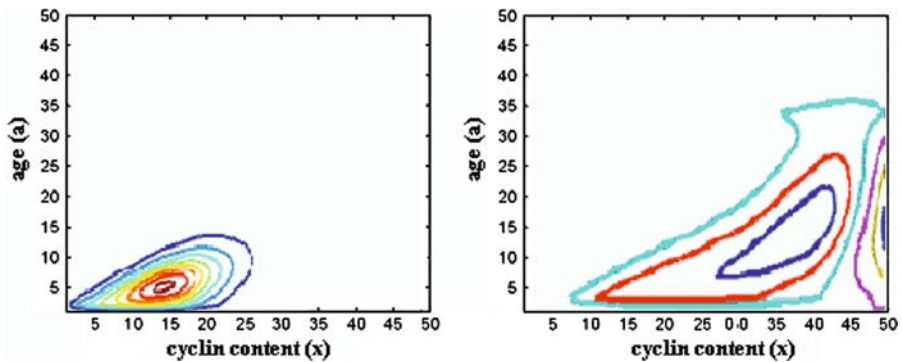
For healthy tissues, Fig. 1 shows the trend towards a steady state as stated in Proposition 4.1 and Fig. 2 shows the distribution of cells according to their age and *Cyclin D*/*CDK4 or 6* concentrations in the quiescent and proliferative phases.

**Table 1** Parameters and values used in simulations

Parameters	Values	Parameters	Values
$c_1$	0.04	$\gamma_1$	5 – 10
$c_2$	0.03	$A^*$	24 h
$c_3$	0.3	$A_1$	0.8 – 1
$c_4$	0.01	$A_2$	25
$w_0$	1	$\gamma_2$	5 – 10
$\Gamma_0$	0.5	$\bar{A}$	15 h
$d_1$	0.07 day <sup>-1</sup>	$\alpha_1$	0.8 day <sup>-1</sup>
$d_2$	0.07 day <sup>-1</sup>	$\theta$	0.095 × 10 <sup>6</sup>
$k_1$	1	$n$	1
$k_2$	20	$\alpha_2$	0.7 day <sup>-1</sup>



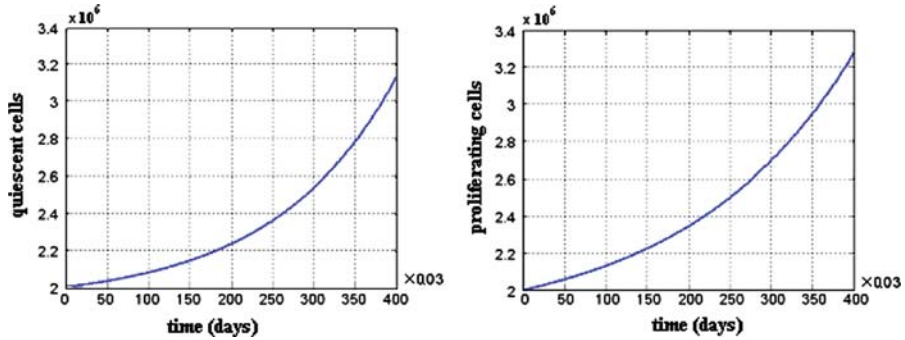
**Fig. 1** Time evolution of total population for a healthy tissue. *Left* total quiescent cells  $\int_0^{+\infty} \int_0^{+\infty} q(t, a, x) da dx$ ; *right* total proliferating cells  $\int_0^{+\infty} \int_0^{+\infty} p(t, a, x) da dx$



**Fig. 2** Isovalues of the total cell population for a healthy tissue at steady state ( $p^*, q^*$ ): variable  $x$  (cyclin content) is in abscissae, variable  $a$  (age in the proliferative phase) in ordinates, and level lines indicate constant  $p^*$  or  $q^*$  values. *Left* quiescent cells  $q^*(a, x)$ ; *right* proliferating cells  $p^*(a, x)$

We have verified that assumptions (H1) and (H3) hold true. The so-called power algorithm [16] allowed us to obtain numerically the first eigenvalue for system (12).





**Fig. 3** Time evolution of total population for a tumoral tissue. *Left* total quiescent cells  $\int_0^{+\infty} \int_0^{+\infty} q(t, a, x)dadx$ ; *right* total proliferating cells  $\int_0^{+\infty} \int_0^{+\infty} p(t, a, x)dadx$

For  $\tilde{G} = \alpha_1 = 0.8$ , we have obtained  $\lambda_0 = 0.026$ , which is compatible with (H1); we have also numerically determined  $N_{lim} = 5.6 \times 10^6$ , and obtained  $\lambda_{lim} = -0.12$  for  $\tilde{G} = G(N_{lim}) = \frac{\alpha_1 \theta^n}{\theta^n + N_{lim}^n}$ , which is compatible with (H3) since the cell population has limited growth.

For a tumoral tissue, Fig. 3 shows that the population has unlimited exponential growth in both the quiescent and proliferative phases.

### 6 Discussion and conclusion

We have considered a nonlinear model to describe a cell population structured by its age and its amount of cyclin with two compartments: proliferating and quiescent cells. We have structured our cell population model by the amount of *Cyclin D/(CDK4 or 6)* since it is the cyclin/CDK complex, or rather the balance between *Cyclin D/(CDK4 or 6)* and *p27(Kip1)* concentrations, which is the most determinant factor for the progression in the cell cycle through the restriction point, and it is also important for the transition from proliferation to quiescence, since there is only one proliferating phase in the model, i.e., other cyclins (*E, A, B*) have not been considered. We have also assumed that the transition from quiescence to proliferation depends on the total (“qualified”) cell population: this nonlinear feedback has been introduced on purpose to allow for a possible cell population steady state which is the norm in fast renewing healthy tissues. Our cell population model can thus be applied to both cancer and normal tissue growth.

The analysis we have carried out, assuming reasonable hypotheses on the parameters, exhibits a steady state for a healthy tissue and, on the contrary, unlimited growth for tumoral tissue. In addition, the numerical simulations confirm these results, as illustrated by Figs. 1, 2 and 3.

Throughout our analysis, we have particularly studied the role of transitions between quiescence and proliferation, focusing on the intracellular amount of Cyclin D, to connect the physiological behaviour of individual cells with the asymptotic behaviour

of the corresponding cell populations with respect to their growth dynamics, for both healthy and tumoral tissues.

In this paper, we did not take space into account, a choice which was unlikely to yield, for the solutions of the equations, the Fisher-KPP-like long-term behaviour which has been observed by various authors for the growth of solid spheroid tumours [9, 14], i.e.,  $R(t) \simeq kt$  for the tumour radius as a function of time. But note that these observations deal with tumours that have in common to be described at a late stage, when space limitations are essential to tumour growth kinetic mechanisms. In this respect, the present model, in the tumoral case, may be suitable only for the phenomenological representation of the initial exponential step of solid tumour growth, or of tumours of the hematopoietic system. Other models [33] take both space and cell cycle control into account, and adding space as a structuring variable (i.e., designing in the future a model structured in age, cyclin content and space) is an open option.

We can hope that a better understanding of the cell cycle and its control can be used practically in cancer therapy. Drugs used in cancer chemotherapies affect only proliferating cells, often in a specific phase of the cell cycle and are often specific to particular proteins of the cell cycle. In the future, we will add to this model the representation of the effects on the cell cycle of drugs such as antagonists of *EGFRs* (epidermal growth factor receptors). These receptors, on stimulation by growth factors, act on the  $G_1$  phase, inducing quiescent cells to enter the proliferating phase and these drugs, which are more and more widely used in clinics, inhibit this recruitment. We will also separate the proliferating phase (i.e., the complete cell division cycle) into specific phases ( $G_1/S-G_2/M$ ) onto which specific drugs act, e.g. 5 Fluorouracil on  $S$  phase.

Such modelling principles will allow us to represent separately the cytotoxic effects of alkylating agents, such as e.g. platinum compounds, non-phase-specific, of anti-metabolites,  $S$  phase-specific, as well as the cytostatic effects of *EGFR* antagonistic drugs on transitions between quiescent and proliferative states. Taking into account the effects of such different drugs is indeed a necessity in order to actually help clinicians, since modern treatments in oncology use combinations of drugs in standard therapeutic protocols.

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