

Collision Attacks based on the Entropy Loss caused by Random Functions

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Outline

- ▶ Stream Cipher Model

- ▶ Entropy Estimation
 - Previous Results
 - New Entropy Estimator

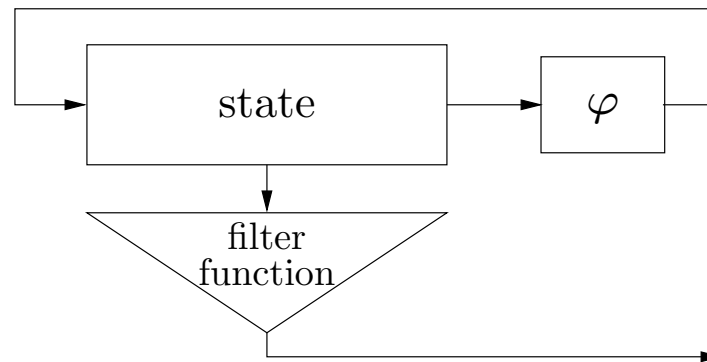
- ▶ Collision Attacks

- ▶ Conclusion

Part 1

Stream Cipher Model

Stream Cipher Model



- ▶ State with values $s_k \in \Omega_n$ for all $k \geq 0$
 - State space $\Omega_n = \{\omega_1, \omega_2, \dots, \omega_n\}$
 - Initial state s_0
 - Initial distribution $\{p_i\}_{i=1}^n$ with $p_i = Pr[s_0 = \omega_i]$
- ▶ Update function $\varphi \in \mathcal{F}_n = \{\varphi : \Omega_n \rightarrow \Omega_n\}$

Our Stream Cipher Model

Assumption: Use *random function model* for the update function

- ▶ φ is randomly chosen out of \mathcal{F}_n
- ▶ All statistical statements are made on average over all $\varphi \in \mathcal{F}_n$

Motivation

- ▶ New stream cipher proposals which might fit into this model
e.g. MICKEY (version 1) **[Babbage and Dodd 05]**
- ▶ The image of $\varphi^{(k)}$ is (much) smaller than n thus we *lose entropy*

Questions

- ▶ How much entropy do we lose in the state?
- ▶ Can this loss be efficiently exploited into a collision attack?

Notations

- ▶ Probability of a state being ω_i after k iterations of φ :

$$p_i^\varphi(k) = Pr[\varphi^{(k)}(s_0) = \omega_i]$$

- ▶ Entropy of the state after k iterations of φ :

$$H_k^\varphi = \sum_{i=1}^n p_i^\varphi(k) \log_2 \left(\frac{1}{p_i^\varphi(k)} \right)$$

- ▶ Expected entropy after k iterations taken over all $\varphi \in \mathcal{F}_n$:

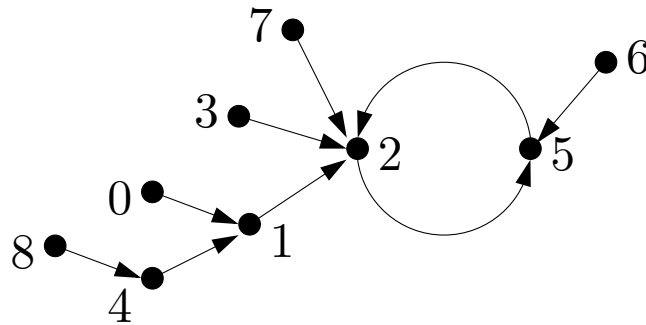
$$E(H_k)$$

Part 2

Entropy Estimation

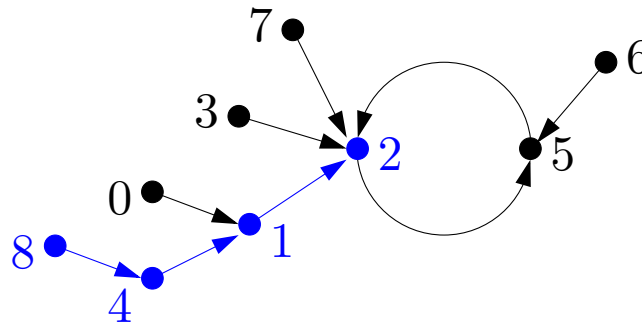
Previous Results

Properties of Random Functions



[Flajolet Odlyzko 90]

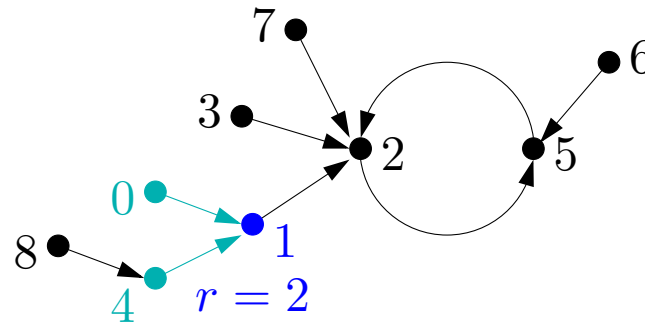
Properties of Random Functions



[Flajolet Odlyzko 90]

- ▶ # Cycle points: $CP(n) = \sqrt{\pi n/2}$
- ▶ Maximal tail length: $MTL(n) = \sqrt{\pi n/8}$

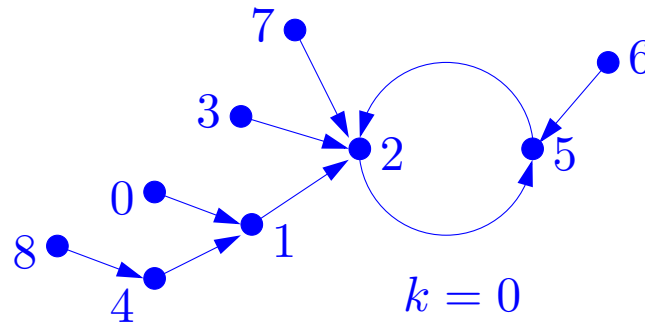
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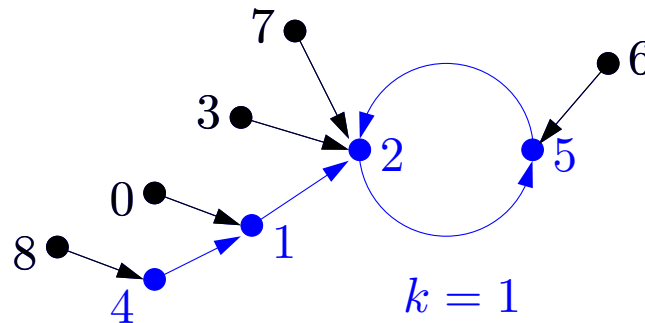
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- ▶ # Image points: $IP(n, k) = (1 - \tau_k) n$
where $\tau_0 = 0$ and $\tau_{k+1} = e^{-1+\tau_k}$

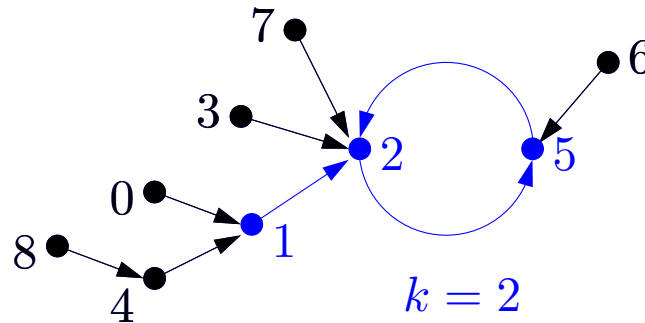
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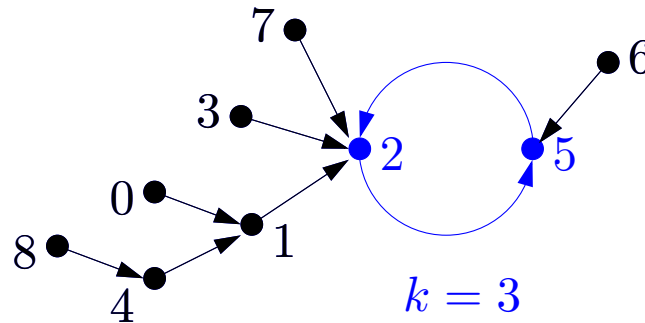
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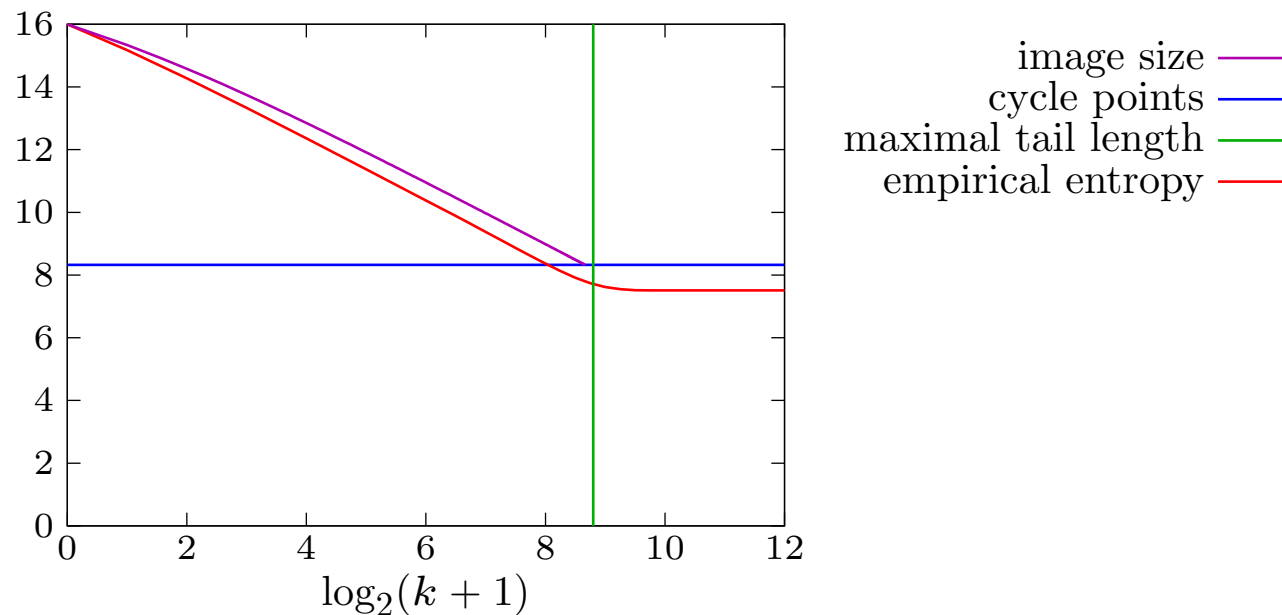
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Bounding Entropy with Image Points [Hong Kim 05]

- ▶ Upper bound given by number of image points:

$$E(H_k) \leq \log_2(n) + \log_2(1 - \tau_k)$$

- ▶ Example for $n = 2^{16}$



New Entropy Estimator

New Entropy Estimator (1)

Motivation:

- ▶ Find an entropy estimator which is **more precise** than the upper bound given by the number of image points

Ideas:

- ▶ We assume a uniform initial distribution
- ▶ If a state can be produced by exactly r other states after one iteration, it has probability r/n

New Entropy Estimator (2)

- ▶ Average number of states produced by r states after k iterations:

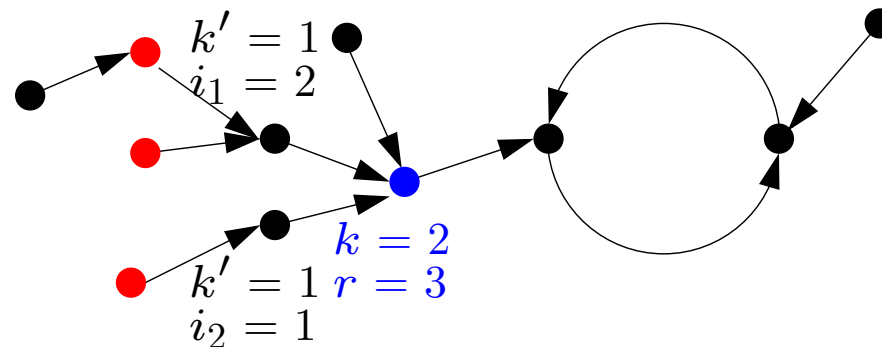
$$n c_k(r)$$

- ▶ Expected Entropy:

$$\begin{aligned} E(H_k) &= \sum_{r=1}^n n c_k(r) \frac{r}{n} \log_2 \frac{n}{r} \\ &\approx \log(n) - \sum_{r=1}^n c_k(r) r \log_2(r) \end{aligned}$$

Calculation of $c_k(r)$ (1)

- ▶ $k = 1$: Use directly $RN(n, k) = n/r!e$
- ▶ $k > 1$: Use the fact that such a tree node has
 - j children with i_1, \dots, i_j descendants after $k - 1$ iterations, $i_1 + \dots + i_j = r$, and
 - arbitrary tree children of depth $< k$



Calculation of $c_k(r)$ (2)

By analyzing the generating function of our property we get

$$c_k(r) = \frac{1}{e} f_1(k) S(k, r, 1)$$

▶ $f_1(k) = e^{f_1(k-1)/e}$ with $f_1(1) = 1$

▶ $S(k, r, m) =$

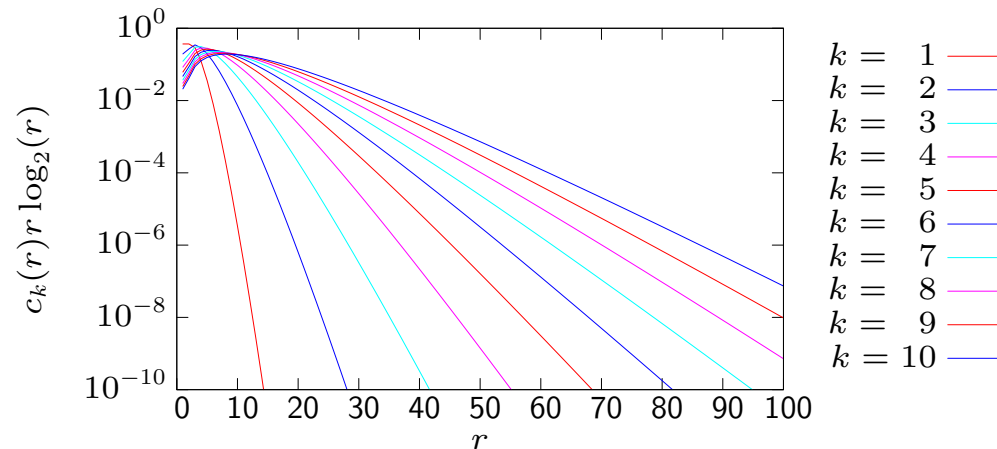
$$\begin{cases} S(k, r, m) = \sum_{u=0}^{\lfloor \frac{r}{m} \rfloor} \frac{c_{k-1}(m)^u}{u!} S(k, r - mu, m + 1) & \text{if } 1 \leq m \leq r \\ 1 & \text{if } r = 0 \\ 0 & \text{if } 0 < r < m \end{cases}$$

Remarks

- ▶ We ignore the incoming cycle nodes
- ▶ Complexity of computing $c_k(r)$ with $r \leq R$ and $k \leq K$:

$$O(K R^2 \log(R))$$

- ▶ $E(H_k) = \log(n) - \sum_{r=1}^R c_k(r) r \log_2(r) - \sum_{r=R+1}^n c_k(r) r \log_2(r)$



Estimation of Entropy Loss with different Methods

k	0	1	2	3	...	7	8
empirical data $n = 2^{16}$	0.0000	0.8272	1.3456	1.7252	...	2.6690	2.8324
image points	0.0000	0.6617	1.0938	1.4186	...	2.2546	2.4032
$R = 30$	0.0000	0.8272	1.3457	1.7254	...	2.6561	2.8004
$R = 50$	0.0000	0.8272	1.3457	1.7254	...	2.6693	2.8324

- ▶ For small k our new estimator is more precise than the upper bound given by the number of image points
- ▶ For larger k we need a bigger R to have a small error

Part 3

Collision Attacks

Collision Attacks

Ideas:

- ▶ Using a random function leads to a loss of entropy
- ▶ A reduced entropy leads to higher probability of a collision
- ▶ If two states are the same, then the subsequent output sequences are identical
- ▶ Two proposals for an attack on MICKEY in **[Hong Kim 05]**
(no real attacks)

Attack 1

(Proposition [Hong Kim 05])

- ▶ Search for collision after k iterations

Attack 1

(Proposition [Hong Kim 05])

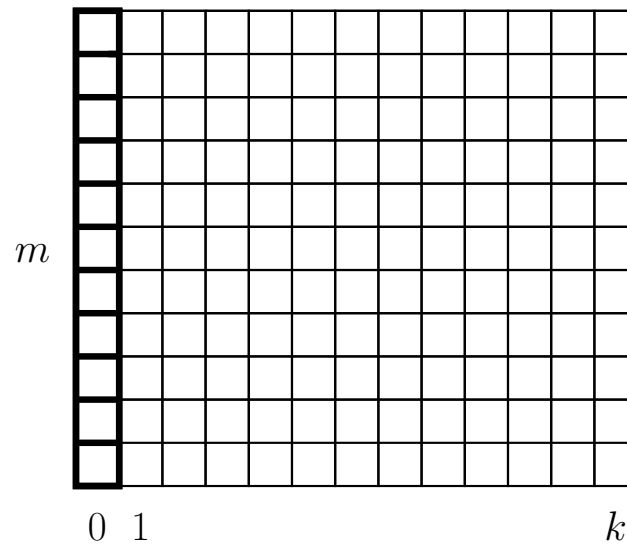
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Attack 1

(Proposition [Hong Kim 05])

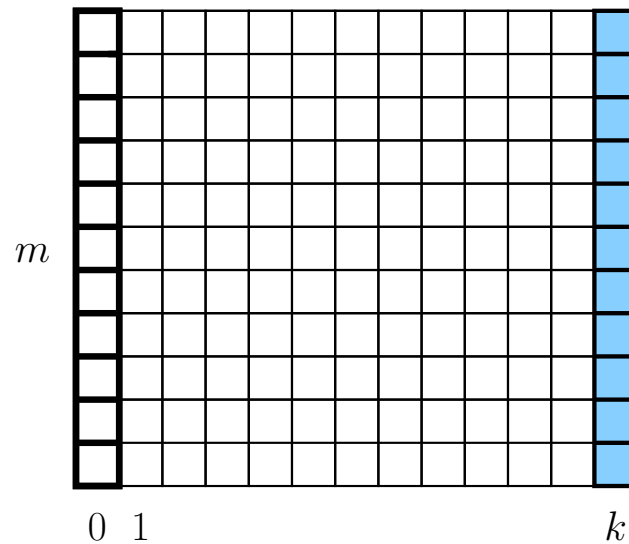
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Attack 1

(Proposition [Hong Kim 05])

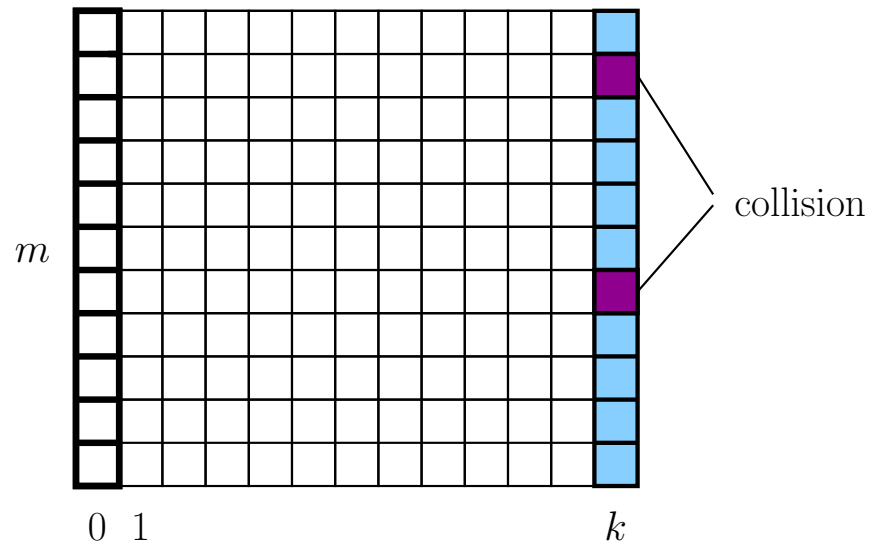
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Attack 1

(Proposition [Hong Kim 05])

- ▶ Search for collision after k iterations



Attack 1

(Analysis (1))

- ▶ **Upper bound:** $E(H_k) \leq \log_2(n) - \log_2(k) + 1$
- ▶ **Birthday paradox:** Need $\sim \sqrt{\frac{n}{k}}$ values in the last row

	Attack 1
Space complexity [Hong Kim 05]	$\sim \sqrt{\frac{n}{k}}$
Data complexity (new)	$\sim \sqrt{k n}$

Attack 1 (Remark)

Under which circumstances is the attack effective?

- ▶ If we have functions which loose on average more than $2 \log_2(k)$ bits after k iterations

This means that we don't use a random function, but the principle of the attack stays the same

Attack 2

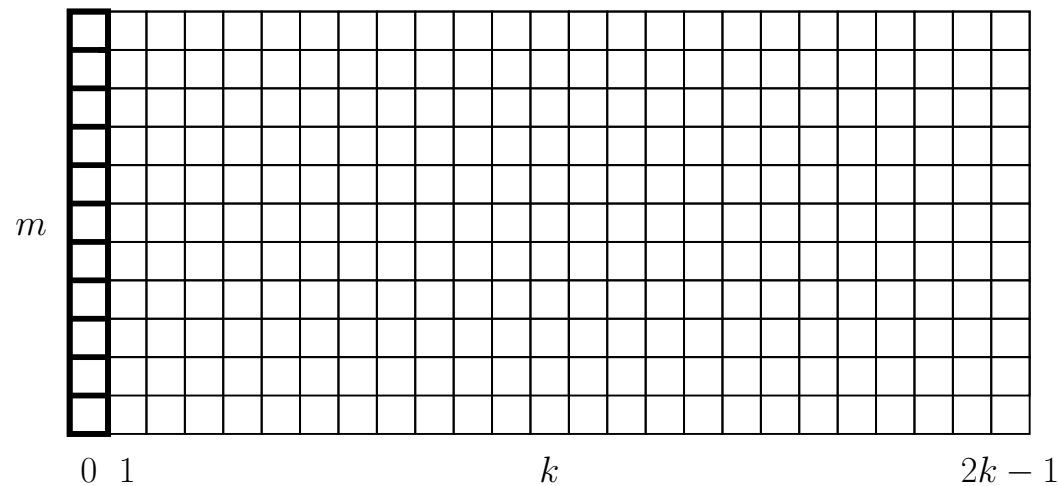
(Proposition [Hong Kim 05])

- ▶ Iterate $2k$ times and search for collision in the second half of the intermediate states

Attack 2

(Proposition [Hong Kim 05])

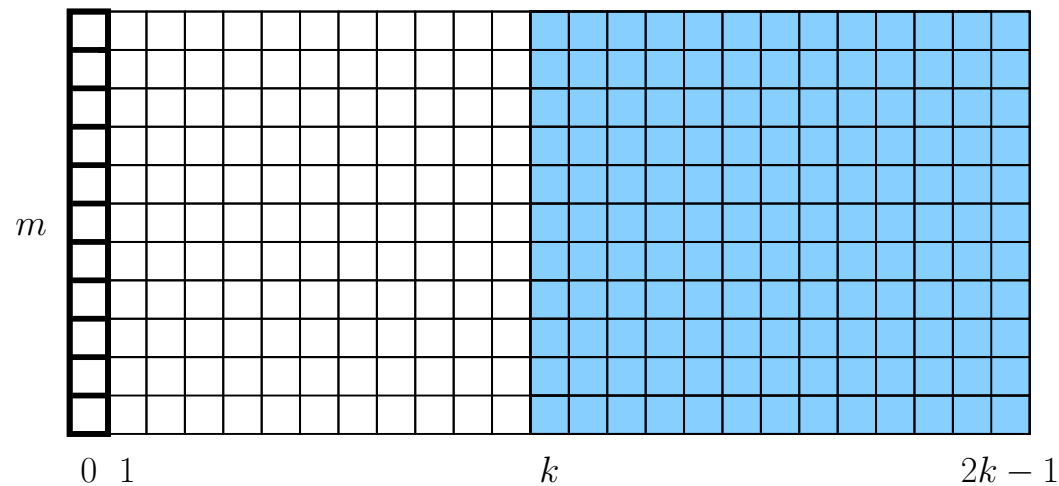
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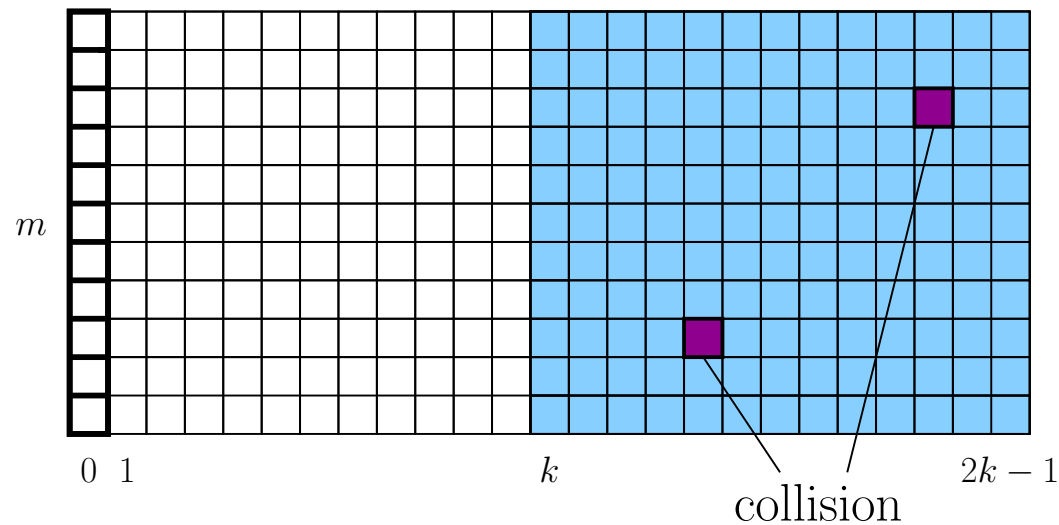
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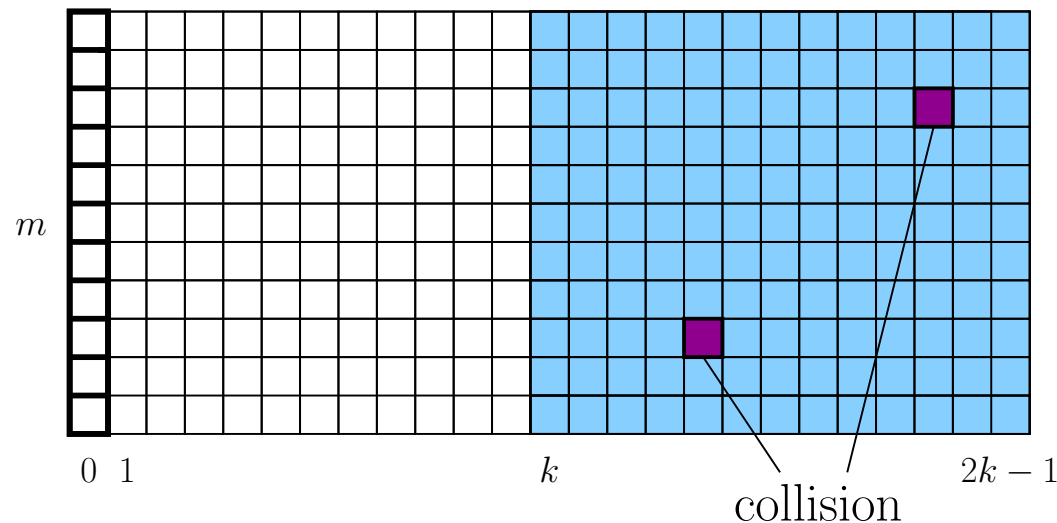
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Attack 2

(Proposition [Hong Kim 05])

- ▶ Iterate $2k$ times and search for collision in the second half of the intermediate states



- ▶ **[Hong Kim 05]**: Magnitude of m such that $m k \sim \sqrt{n/k}$

Attack 2

(Analysis (new))

- ▶ Probability of collision is smaller than $1 - Pr[noColTotal]$
- ▶ By counting arguments we get:

$$Pr[noColTotal] = \frac{n(n-1) \cdots (n-2km+1)}{n^{2km}}$$

- ▶ **Birthday Paradox:** We need $2mk \approx \sqrt{n}$

	Attack 1	Attack 2
Space complexity	$\sim \sqrt{\frac{n}{k}}$	$\sim \sqrt{n}/2$
Data complexity	$\sim \sqrt{k n}$	$\sim \sqrt{n}$

Attack 3 (new) (Distinguished Points)

- ▶ Iterate until we reach a distinguished point

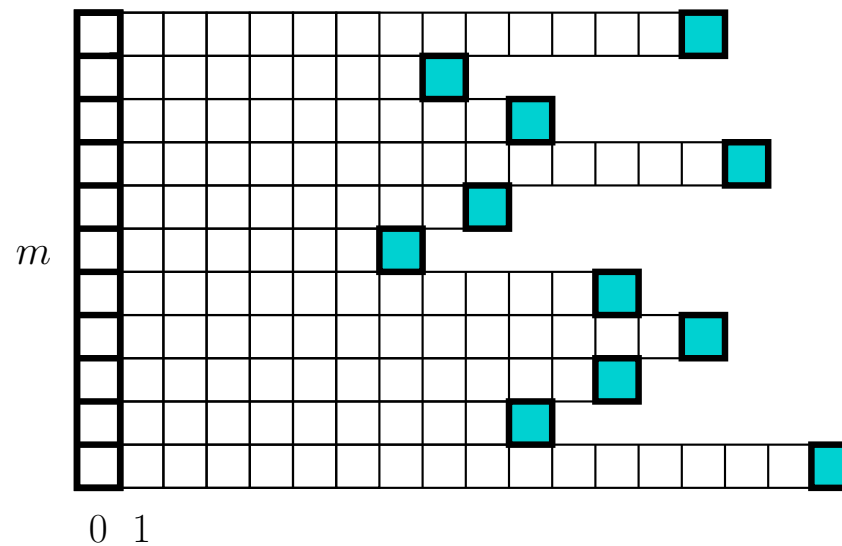
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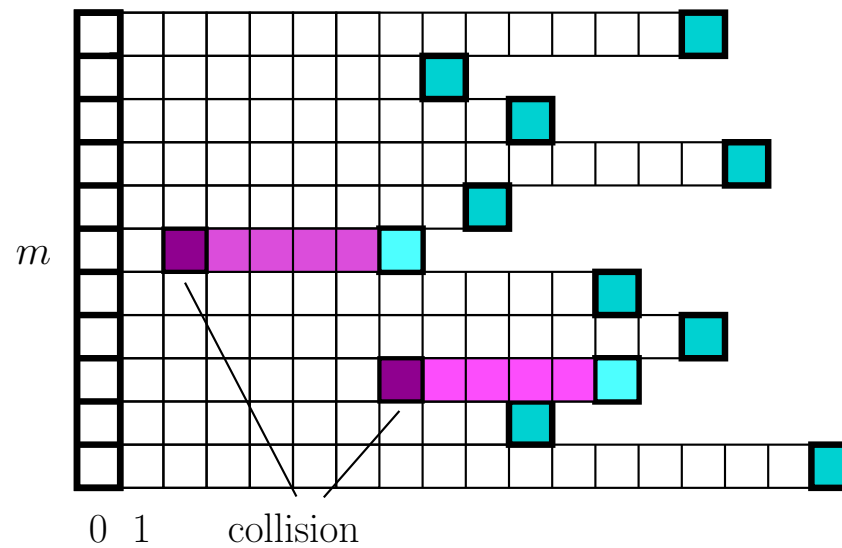
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Attack 3 (new) (Distinguished Points)

- ▶ Iterate until we reach a distinguished point



Attack 3 (new) (Analysis)

- ▶ We assume that in total we need again about \sqrt{n} data points
- ▶ Let $c = d/n$ be the ratio of distinguished points, $0 < c < 1$
- ▶ We assume that like for random points the average length of a row is about $1/c$

	Attack 1	Attack 2	Attack 3
Space complexity	$\sim \sqrt{\frac{n}{k}}$	$\sim \sqrt{n}/2$	$\sim c\sqrt{n}$
Data complexity	$\sim \sqrt{k n}$	$\sim \sqrt{n}$	$\sim \sqrt{n}$

Part 4

Conclusion

Conclusion

Entropy Estimator:

- ▶ We studied a stream cipher model with a random update function
- ▶ We introduced a **new estimator** of the state entropy after several iterations of the update function
- ▶ For small k it is **more precise** than the previous upper bound

Conclusion

Collision Attacks:

- ▶ Using a random update function introduces an entropy loss
- ▶ Till now it was not well studied if this introduce a real threat for our stream cipher model
- ▶ We showed that the proposed attacks are **less effective** than expected