

Lecture 4

Quantum Fourier Transform and applications : Simon and Shor algorithms

January 29, 2020

Plan

1. Simon's algorithm and its applications to cryptography
2. The hidden subgroup group problem and the quantum Fourier transform
3. Shor's algorithm
 - reduction to order finding and phase estimation
 - solving the phase estimation problem
 - fast quantum Fourier transform \mathbf{QFT}_{2^m}
 - deducing the order from the measurements

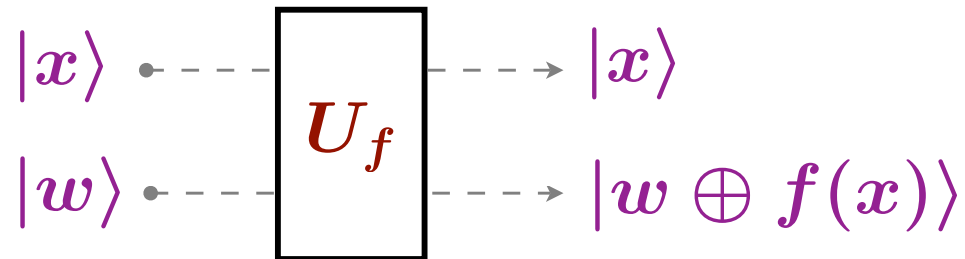
1. Simon's algorithm

Input : $f : \mathbb{F}_2^n \rightarrow \mathbb{F}_2^n$ such that there exists $s \in \mathbb{F}_2^n$ for which

$$f(x) = f(y) \Leftrightarrow y = x \oplus s$$

Output : s

Constraint : blackbox call to f



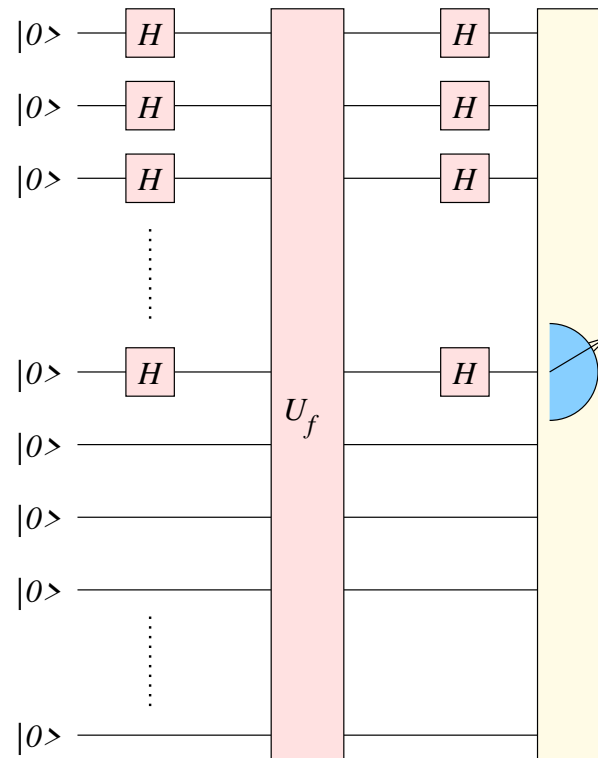
Query complexity

- ▶ Classically $2^{\Omega(n)}$
- ▶ Quantumly $O(n)$

Query complexity

- ▶ Classically $O(2^{n/2})$
- ▶ Quantumly $O(n)$

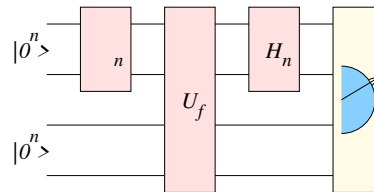
Simon's algorithm



Exercise

What is the output of this algorithm ?

Simon's algorithm



- ▶ Initialization: $|0^n\rangle |0^n\rangle$
- ▶ Parallelization: $\frac{1}{2^{n/2}} \sum_x |x\rangle |0^n\rangle$
- ▶ Calling f : $\frac{1}{2^{n/2}} \sum_{x \in \mathbb{F}_2^n} |x\rangle |f(x)\rangle = \frac{1}{2^{n/2}} \sum_{x \in \mathbb{F}_2^n / \langle s \rangle} (|x\rangle + |x \oplus s\rangle) |f(x)\rangle$
- ▶ Interference:

$$\begin{aligned} & \frac{1}{2^n} \sum_{x \in \mathbb{F}_2^n / \langle s \rangle} \sum_{y \in \mathbb{F}_2^n} (-1)^{x \cdot y} (1 + (-1)^{y \cdot s}) |y\rangle |f(x)\rangle \\ &= \frac{1}{2^{n-1}} \sum_{x \in \mathbb{F}_2^n / \langle s \rangle} \sum_{y: y \cdot s = 0} (-1)^{x \cdot y} |y\rangle |f(x)\rangle \end{aligned}$$

- ▶ Measure: $|y\rangle |f(x)\rangle$ for which $y \cdot s = 0$

Exercise : one-time pad

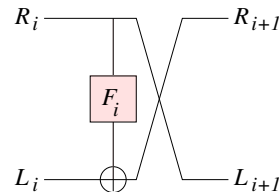
For $K \in \mathbb{F}_2^n$, consider the “one-time pad function”

$$\begin{aligned} E_K : \mathbb{F}_2^n &\rightarrow \mathbb{F}_2^n \\ M &\mapsto M \oplus K \end{aligned}$$

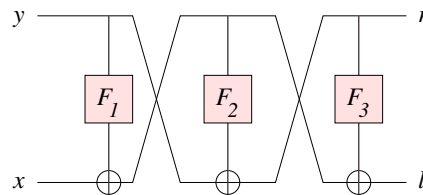
Show that there is a quantum polynomial time algorithm querying U_{E_K} just **once** that distinguishes E_K from a random permutation P of \mathbb{F}_2^n .

Exercise : Feistel network

Consider the following round function $(L, R) \mapsto (R, L \oplus F_i(R))$ represented by



Let P be the 3-round Feistel cipher given by $P(x, y) = (l, r)$ from 3 round functions F_1, F_2, F_3



1. Express $r = R(x, y)$ in terms of x and y
2. Choose two distinct values α and β and define f as $f(0, x) = F_2(x \oplus F_1(\alpha))$ and $f_1(x) = F_2(x \oplus F_1(\beta))$. Give a y such that $f(0, x) = f(1, y)$
3. Deduce a polynomial time algorithm that distinguishes P from a random permutation by using only $O(n)$ calls to U_P

2. The hidden subgroup problem

► Input:

- a group G
- $f : G \mapsto \mathbb{C}$ a function which is constant and distinct over the cosets of an **unknown** subgroup H of G

$$f(x) = f(y) \Leftrightarrow \exists h \in H : y = xh$$

► Output: H

Exercise : Simon's problem

Explain why Simon's problem is an instance of the HSP problem

Simon's problem

$$G = \mathbb{F}_2^n$$

$$H = \{0, s\}$$

Quantum “Supremacy”

- ▶ Can be solved in polynomial time $O(\log |G|^a)$ with a quantum computer when G is abelian.
- ▶ Factoring and discrete log reduce to this problem.

Factoring and the hidden subgroup problem

$$\begin{aligned} G &= \mathbb{Z} \\ f(x) &= a^x \pmod{N} \quad a \text{ random in } \mathbb{Z}/N\mathbb{Z} \\ r &= \text{order of } a \text{ (smallest positive integer } r \text{ s.t. } a^r = 1 \pmod{N}) \\ H &= r\mathbb{Z} \end{aligned}$$

Justification

Assume r is even

$$0 = a^r - 1 \pmod{N} = (a^{r/2} - 1)(a^{r/2} + 1) \pmod{N}$$

$$a^{r/2} \not\equiv 1 \pmod{N}$$

$$a^{r/2} \not\equiv -1 \pmod{N} \text{ with prob. } \frac{1}{2}$$

\Rightarrow $\text{GCD}(a^{r/2} - 1, N)$ and $\text{GCD}(a^{r/2} + 1, N)$ give a non trivial divisor of N .

Discrete log and hidden subgroup problem

▶ Input:

- prime p
- x and g generator of $(\mathbb{Z}/p\mathbb{Z})^*$

▶ Output: y s.t.

$$x = g^y$$

Exercise : reducing DLog to HSP

Reduce this problem to HSP

Reducing DLog to HSP

$$\begin{aligned}
 G &= \mathbb{Z}/(p-1)\mathbb{Z} \times \mathbb{Z}/(p-1)\mathbb{Z} \\
 f(a, b) &= g^a x^{-b} \pmod{p} \\
 x &= g^y
 \end{aligned}$$

$$\begin{aligned}
 f(a, b) &= g^{a-yb} \pmod{p} \\
 f(a_1, b_1) = f(a_2, b_2) &\Leftrightarrow (a_1, b_1) - (a_2, b_2) = \lambda(y, 1), \lambda \in \mathbb{Z}/(p-1)\mathbb{Z} \\
 H &= \langle (y, 1) \rangle
 \end{aligned}$$

The fundamental ingredient : the Fourier transform

- ▶ Finite abelian group G
- ▶ Character group $\hat{G} = \{\chi_g : g \in G\} \cong G$, set of characters = homomorphisms from G to the unit complex circle $\mathbb{U} = \{z \in \mathbb{C} : |z| = 1\}$

$$\begin{aligned} \chi_g : G &\rightarrow \mathbb{U} \\ a &\mapsto \chi_g(a), \text{ s.t.} \end{aligned}$$

$$\forall a, b \in G \quad \chi_g(a + b) = \chi_g(a)\chi_g(b)$$

Examples:

- $G = \mathbb{F}_2^n = \underbrace{\mathbb{F}_2 \times \mathbb{F}_2 \times \cdots \times \mathbb{F}_2}_{n \text{ times}}, \quad \mathbf{x} \cdot \mathbf{y} \stackrel{\text{def}}{=} \sum_{i=1}^n x_i y_i$

$$\chi_x(\mathbf{y}) = (-1)^{\mathbf{x} \cdot \mathbf{y}}$$

- $G = \mathbb{Z}_{2^n}$

$$\chi_x(\mathbf{y}) = e^{\frac{2i\pi xy}{2^n}}$$

Fundamental properties

$$\sum_{g \in G} \overline{\chi_1}(g) \chi_2(g) = \begin{cases} |G|, & \text{if } \chi_1 = \chi_2 \\ 0 & \text{else} \end{cases} \quad \sum_{\chi \in \hat{G}} \overline{\chi}(g_1) \chi(g_2) = \begin{cases} |G|, & \text{if } g_1 = g_2 \\ 0 & \text{else} \end{cases}$$

- ▶ The matrix $\mathbf{U} = (u_{gg'})_{\substack{g \in G \\ g' \in G}}$ where $u_{gg'} \stackrel{\text{def}}{=} \frac{1}{\sqrt{|G|}} \chi_{g'}(g)$ is **unitary**
- ▶ It diagonalizes the translation operators τ_a , $a \in G$

$$\begin{aligned} \tau_a : \mathbf{x} \in \mathbb{C}^{|G|} &\rightarrow \mathbb{C}^{|G|} \\ (x_g)_{g \in G} &\mapsto (x_{g+a})_{g \in G} \\ \chi_{g'} &\stackrel{\text{def}}{=} (\chi_{g'}(g))_{g \in G} \\ \tau_a(\chi_{g'}) &= (\chi_{g'}(g+a))_{g \in G} = \underbrace{\chi_g(a)}_{\text{eigenvalue}} \underbrace{\chi_{g'}}_{\text{eigenvector}} \end{aligned}$$

The orthogonal subgroup

Définition[orthogonal subgroup] For a subgroup H of G we denote by H^\perp the orthogonal subgroup defined by

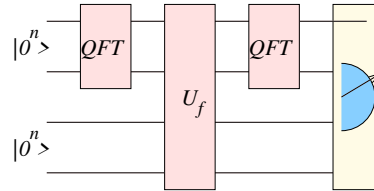
$$H^\perp \stackrel{\text{def}}{=} \{g \in G : \chi_g(h) = 1, \forall h \in H\}$$

$$\sum_{h \in H} \chi_g(h) = \begin{cases} |H|, & \text{if } g \in H^\perp \\ 0 & \text{else} \end{cases}$$

The quantum Fourier transform

classical Fourier transform	quantum Fourier transform
function $f : G \rightarrow \mathbb{C}$	$ \psi\rangle \in \mathbb{C}^{ G }$
$\mathbf{f} = (f(x))_{x \in G}$	$ \psi\rangle = \sum_{x \in G} f(x) x\rangle \quad (\ \mathbf{f}\ = 1)$
$\hat{f}(g) = \frac{1}{\sqrt{ G }} \langle \chi_{-g} \mathbf{f} \rangle$	$ \hat{\psi}\rangle = U \psi\rangle$
$= \frac{1}{\sqrt{ G }} \sum_{x \in G} \chi_g(x) f(x)$	$= \frac{1}{\sqrt{ G }} \sum_{x, g \in G} \chi_g(x) f(x) g\rangle$
	$= \sum_{g \in G} \hat{f}(g) g\rangle$

Generalization of Simon's algorithm



- ▶ Initialization: $|0^n\rangle |0^n\rangle$
- ▶ Parallelization: $\frac{1}{\sqrt{|G|}} \sum_g |g\rangle |0^n\rangle$
- ▶ Calling f : $\frac{1}{\sqrt{|G|}} \sum_{g \in G} |g\rangle |f(g)\rangle = \frac{1}{\sqrt{|G|}} \sum_{x \in G/H} \sum_{h \in H} |x + h\rangle |f(x)\rangle$
- ▶ Interference:

$$\begin{aligned}
 & \frac{1}{|G|} \sum_{x \in G/H} \sum_{g' \in G} \sum_{h \in H} \chi_{g'}(x + h) |g'\rangle |f(x)\rangle \\
 = & \frac{1}{|G|} \sum_{x \in G/H} \sum_{g' \in G} \chi_{g'}(x) \sum_{h \in H} \chi_{g'}(h) |g'\rangle |f(x)\rangle \\
 = & \frac{|H|}{|G|} \sum_{x \in G/H} \sum_{g' \in H^\perp} \chi_{g'}(x) |g'\rangle |f(x)\rangle
 \end{aligned}$$

- ▶ Measure: $|g'\rangle |f(x)\rangle$ for which $g' \in H^\perp$

Fast Quantum Fourier Transform

► For $G = \mathbb{F}_2^n$, Fourier transform in $O(n)$: perform $\mathbf{H}^{\otimes n}$

Theorem 1. $\text{QFT}_{\mathbb{Z}_N}$ can be implemented by a quantum circuit of size $O(\log N)^2$ when the divisors of N are bounded

Theorem 2. $\text{QFT}_{\mathbb{Z}_N}$ can be implemented by a quantum circuit of size $O(\log N)^3$ in general

Theorem 3. $\text{QFT}_{\mathbb{Z}_N}$ can be implemented with an accuracy ε with a quantum circuit of size $O\left(\log N \log\left(\frac{\log N}{\varepsilon} + \log^2(1/\varepsilon)\right)\right)$

3. Shor's algorithm

- ▶ Factoring N : reduction to order finding

Problem 1. [Order finding]

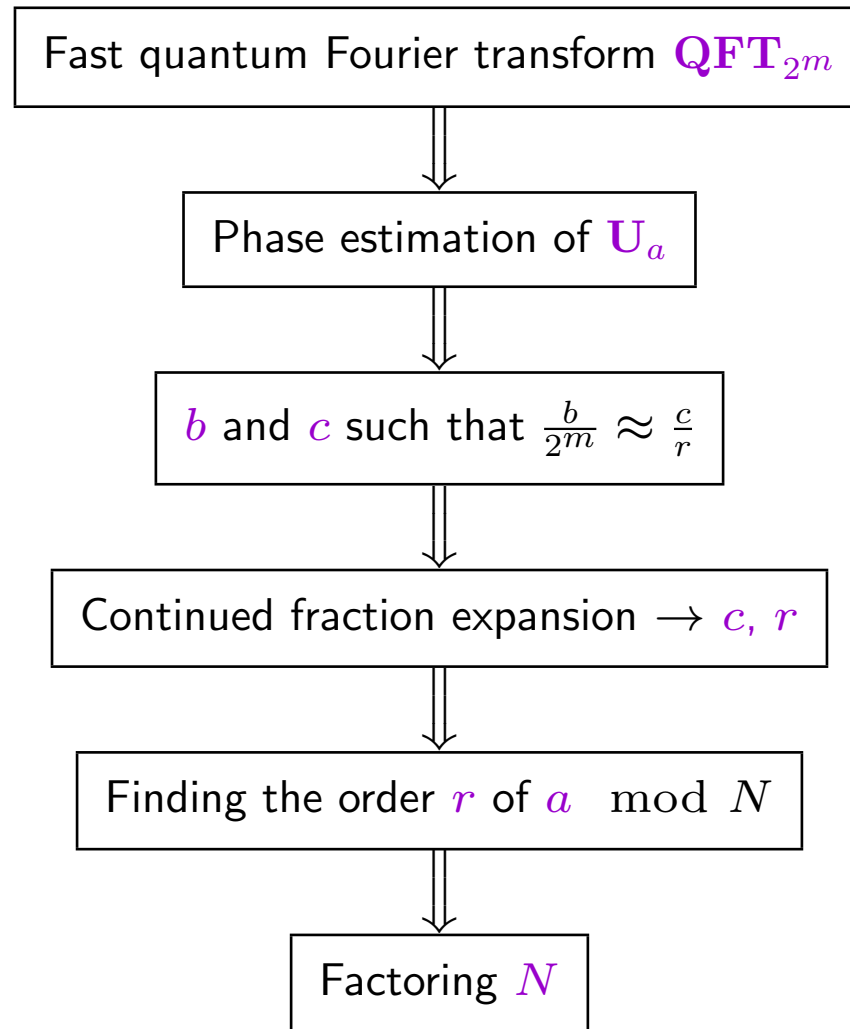
Input: a, N with $a \wedge N = 1$

Output: the smallest $r \in \mathbb{N}^*$ such that $a^r = 1 \pmod{N}$

Algorithm

1. check whether $a \wedge N = 1$
2. compute the order r of $a \pmod{N}$
3. start again with another a if r odd or $a^{r/2} = -1 \pmod{N}$
4. return $\text{gcd}(a^{r/2} \pm 1, N)$

The global picture



The phase estimation problem

Problem 2. [phase estimation]

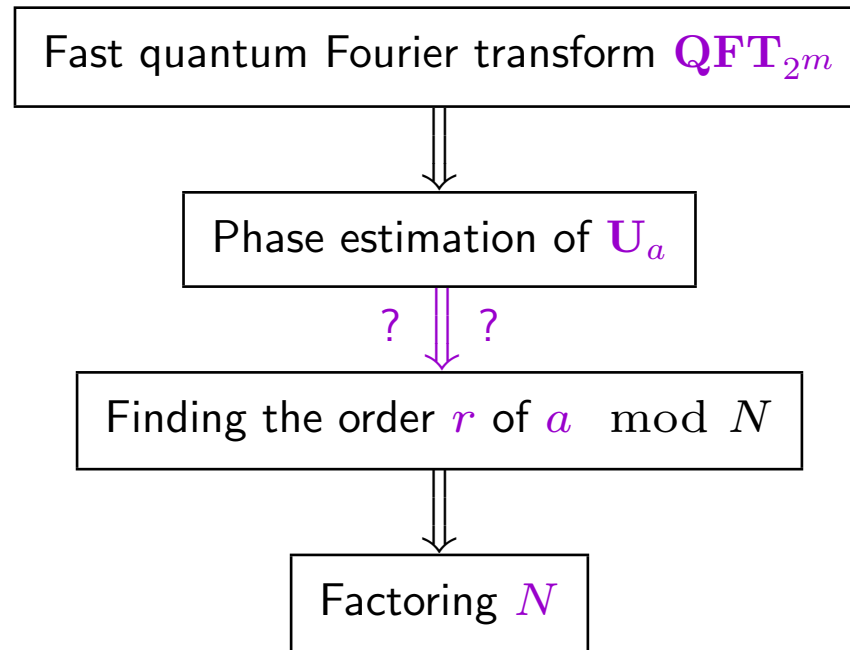
Input: a quantum circuit Q performing a unitary U along with a quantum eigenstate of U :

$$U |\psi\rangle = e^{2i\pi\theta} |\psi\rangle$$

Output: an approximation to θ with precision ε

- ▶ In general approximation complexity has a multiplicative overhead of $O(\frac{1}{\varepsilon})$
- ▶ For certain U much faster approximation can be performed (applies to factoring)

The global picture

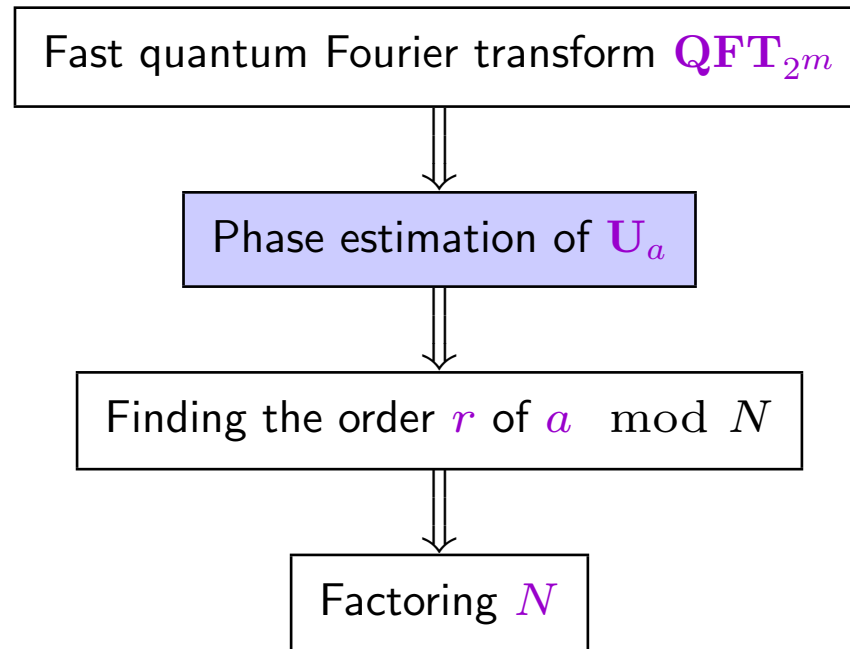


Reduction to the phase estimation problem

$$U_a : |x\rangle \mapsto |ax \pmod N\rangle$$

1. The space V generated by $\{|1\rangle, |a\rangle, \dots, |a^{r-1}\rangle\}$ is invariant by U_a
2. The restriction of U to V has
 - eigenvalues $\lambda_k = e^{2i\pi k/r}$
 - eigenvectors $|\phi_k\rangle = \frac{1}{\sqrt{r}} \sum_{m=0}^{r-1} e^{-2\pi i k m / r} |a^m\rangle$

The global picture



$$\Lambda_m(\mathbf{U})$$

- \mathbf{U} unitary acting on n qubits and $m \in \mathbb{N}^*$ $\Lambda_m(\mathbf{U})$ unitary acting on $m + n$ qubits as

$$\Lambda_m(\mathbf{U}) |k\rangle |\psi\rangle = |k\rangle (\mathbf{U}^k |\psi\rangle)$$

$$\mathbf{U}_a : |x\rangle \mapsto |ax \pmod N\rangle$$

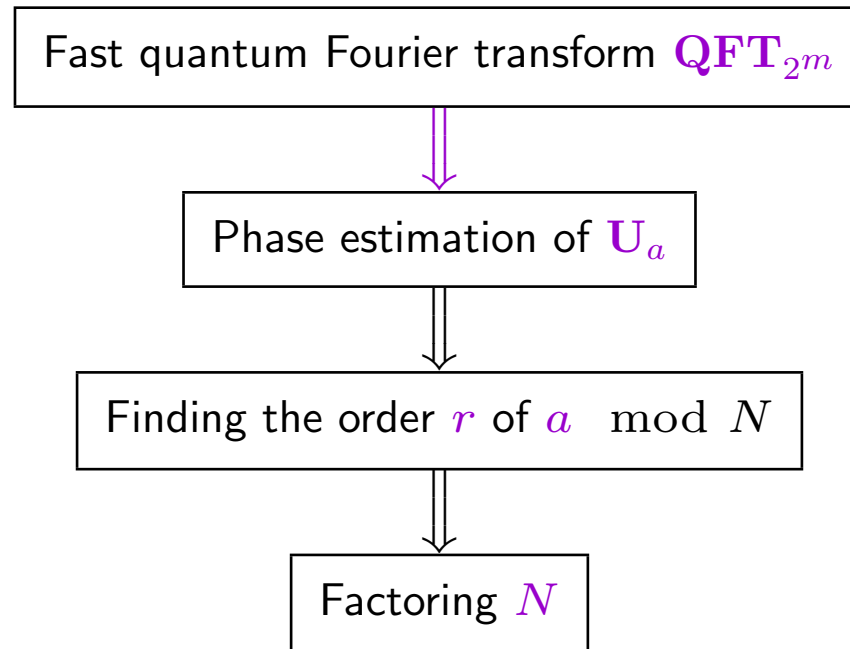
- $\Lambda_m(\mathbf{U}_a)$ can be implemented with $O(mn^2)$ gates using the standard modular exponentiation algorithm, based on a quantum version of a classical reversible circuit performing

$$(x, y) \mapsto (x, a^x y)$$

Exercise

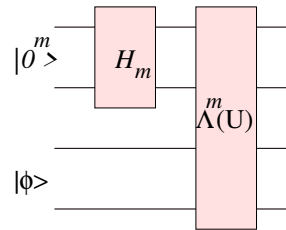
Give a circuit of polynomial complexity performing $\Lambda_m(\mathbf{U})$ involving only one certain $c\text{-}\mathbf{U}^j$.

The global picture



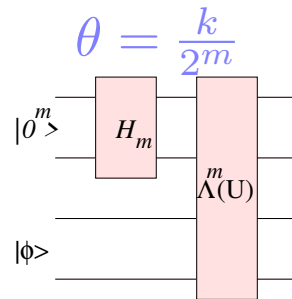
Exercise : the case when $\theta = \frac{j}{2^m}$

1. Let $|\phi\rangle$ be an eigenvector associated to \mathbf{U} with eigenvalue $e^{\frac{2i\pi k}{2^m}}$ for some $k \in \mathbb{N}$. What is the output of the following circuit ?



2. Add something to this circuit so that after measuring we recover k . *Hint:* recall that

$$\text{QFT}_{2^m} |x\rangle = \frac{1}{\sqrt{2^m}} \sum_{y=0}^{2^m-1} e^{\frac{2i\pi xy}{2^m}} |y\rangle$$

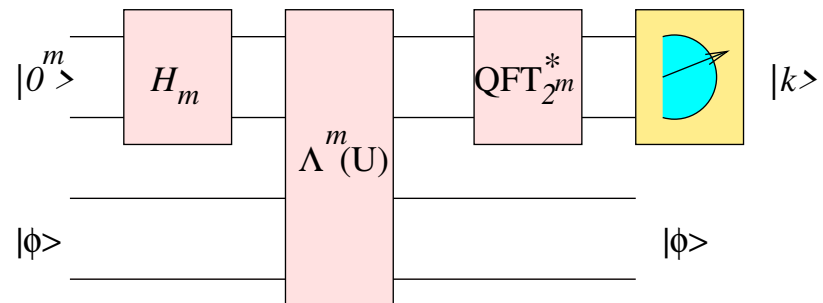


► This circuit produces the state

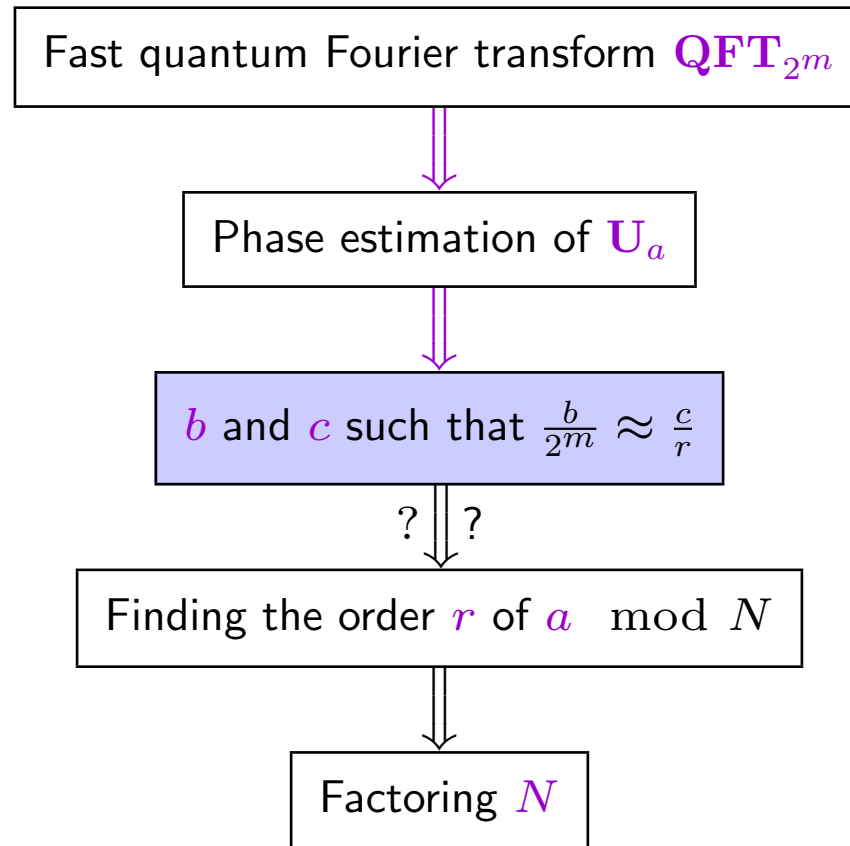
$$\frac{1}{\sqrt{2^m}} \sum_{x=0}^{2^m-1} e^{\frac{2i\pi kx}{2^m}} |x\rangle |\phi\rangle = |\psi_k\rangle |\phi\rangle$$

$$|\psi_k\rangle = \mathbf{QFT}_{2^m} |k\rangle$$

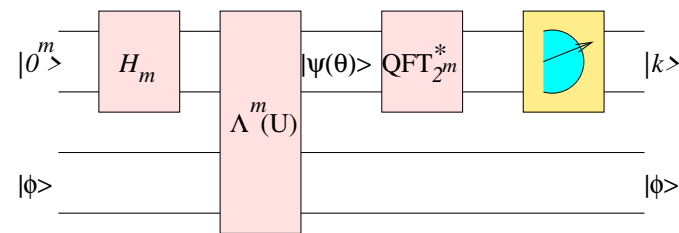
$$|k\rangle = \mathbf{QFT}_{2^m}^* |\psi_k\rangle$$



The global picture



The general case



- ▶ Perform the same operations

$$|\psi(\theta)\rangle = \frac{1}{\sqrt{2^m}} \sum_{x=0}^{2^m-1} e^{2i\pi\theta x} |x\rangle$$

$$\text{QFT}_{2^m}^* |x\rangle = \frac{1}{\sqrt{2^m}} \sum_{y=0}^{2^m-1} e^{-\frac{2i\pi xy}{2^m}} |y\rangle$$

$$\text{QFT}_{2^m}^* |\psi(\theta)\rangle = \frac{1}{2^m} \sum_{x,y} e^{2i\pi\theta x} e^{-\frac{2i\pi xy}{2^m}} |y\rangle = \sum_{y=0}^{2^m-1} \alpha_y |y\rangle$$

$$\alpha_y \stackrel{\text{def}}{=} \frac{1}{2^m} \sum_{x=0}^{2^m-1} e^{2i\pi x(\theta - y/2^m)}$$

The probability of measuring $|y\rangle$

$$\begin{aligned}
 p_y &\stackrel{\text{def}}{=} \text{prob. of measuring } |y\rangle \\
 &= |\alpha_y|^2 \\
 &= \frac{1}{2^{2m}} \left| \sum_{x=0}^{2^m-1} e^{2i\pi x(\theta-y/2^m)} \right|^2 \\
 &= \frac{1}{2^{2m}} \left| \frac{e^{2i\pi(2^m\theta-y)} - 1}{e^{2i\pi(\theta-y/2^m)} - 1} \right|^2 \\
 \max_y(p_y) &\geq \frac{4}{\pi^2} > 0.4
 \end{aligned}$$

Exercise : lower bound on $\max_y(p_y)$

Let

$$y_0 \stackrel{\text{def}}{=} \arg \min_y \left| \theta - \frac{y}{2^m} \right|$$

$$\varepsilon \stackrel{\text{def}}{=} \theta - \frac{y_0}{2^m}$$

$$a \stackrel{\text{def}}{=} \left| e^{2i\pi 2^m \varepsilon} - 1 \right|$$

$$b \stackrel{\text{def}}{=} \left| e^{2i\pi \varepsilon} - 1 \right|$$

1. Prove that $|\varepsilon| \leq \frac{1}{2^{m+1}}$
2. Prove that $a \geq 4|\varepsilon|2^m$
3. Prove that $b \leq 2\pi|\varepsilon|$
4. Prove the lower bound $\max_y(p_y) \geq \frac{4}{\pi^2}$

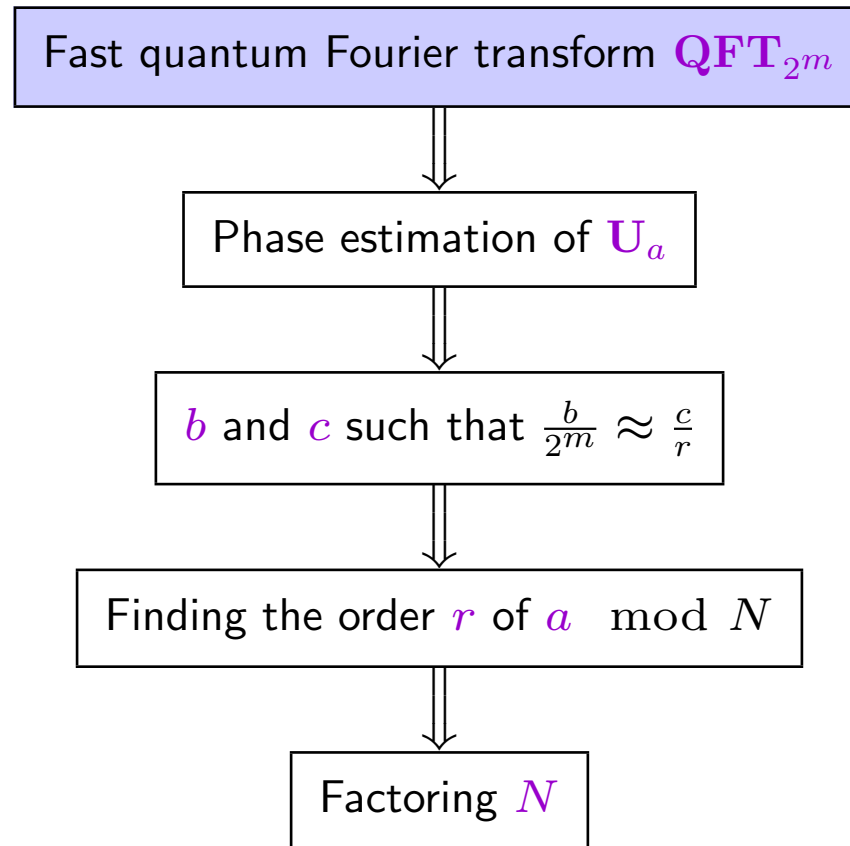
The output of the phase estimation procedure

$$\theta = \frac{c}{r}$$

The previous algorithm outputs an integer k with probability ≥ 0.4 such that

$$\left| \frac{k}{2^m} - \frac{c}{r} \right| \leq \frac{1}{2^{m+1}}$$

The global picture



Efficient implementation of QFT_{2^m}

$$\mathbf{R}_s \stackrel{\text{def}}{=} \begin{pmatrix} 1 & 0 \\ 0 & e^{2i\pi/2^s} \end{pmatrix}$$

$$\mathbf{R}_1 = \mathbf{Z} = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \quad \mathbf{R}_2 = \mathbf{S} = \begin{pmatrix} 1 & 0 \\ 0 & i \end{pmatrix} \quad \mathbf{R}_3 = \mathbf{T} = \begin{pmatrix} 1 & 0 \\ 0 & e^{i\pi/4} \end{pmatrix}$$

► Efficient implementation with \mathbf{H} and \mathbf{T}

$$\begin{aligned} \text{QFT}_{2^m} |k\rangle &= \frac{1}{\sqrt{2^m}} \sum_{j=0}^{2^m-1} e^{2i\pi jk/2^m} |j\rangle \\ &= \frac{1}{\sqrt{2^m}} \sum_{j=0}^{2^m-1} e^{2i\pi (\sum_{l=1}^m j_l 2^{m-l}) k/2^m} |j_1 \cdots j_m\rangle \\ &= \frac{1}{\sqrt{2^m}} \sum_{j=0}^{2^m-1} \prod_{l=1}^m e^{2i\pi j_l k/2^l} |j_1 \cdots j_m\rangle \\ &= \bigotimes_{l=1}^m \frac{1}{\sqrt{2}} \left(|0\rangle + e^{2i\pi k/2^l} |1\rangle \right) \end{aligned}$$

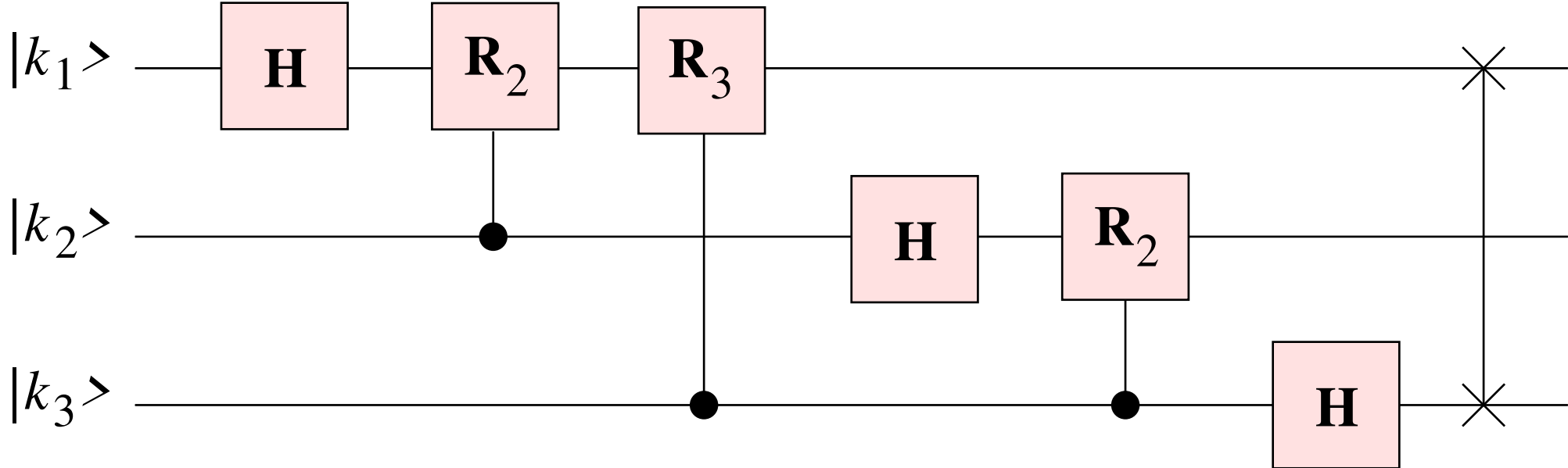
Exercise

Give a quantum circuit implementing

1. QFT_2
2. QFT_8

Solution

The first circuit is just the Hadamard transform. The second one is given by



Quantum circuit complexity for QFT_{2^m}

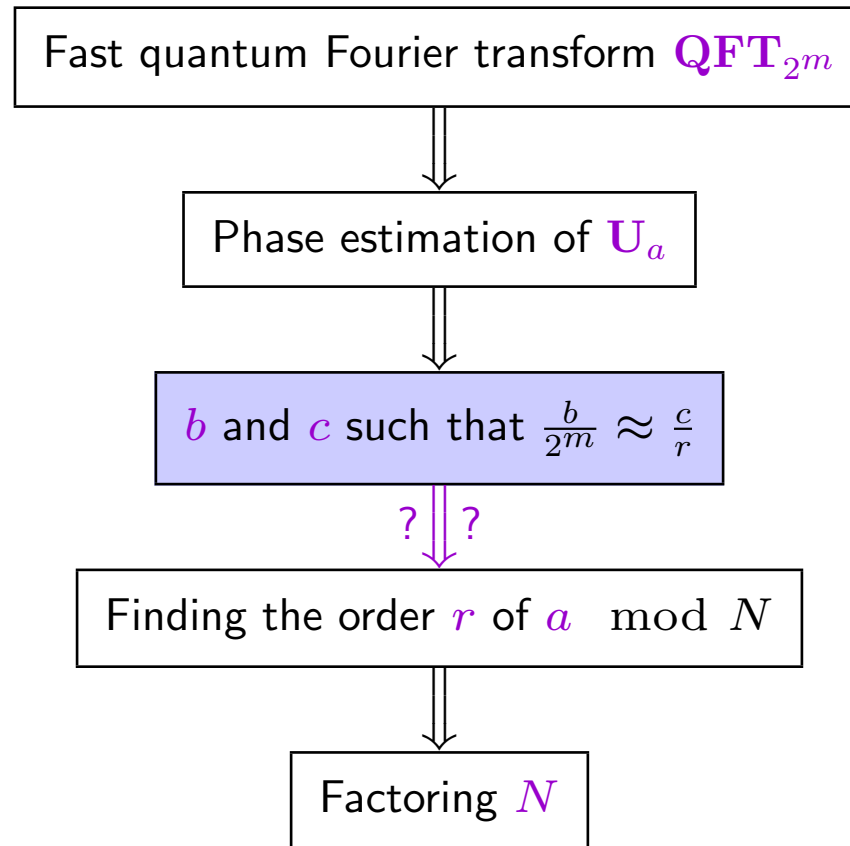
- ▶ Circuit of complexity $O(m^2)$ for QFT_{2^m}
- ▶ Many of the $\mathbf{R}_s \approx \mathbf{Id}$ for $s \gg \log m \Rightarrow$ circuit of complexity $O(m \log m)$ for implementing QFT_{2^m} approximately

Exercise : implementing QFT_{2^m} approximately

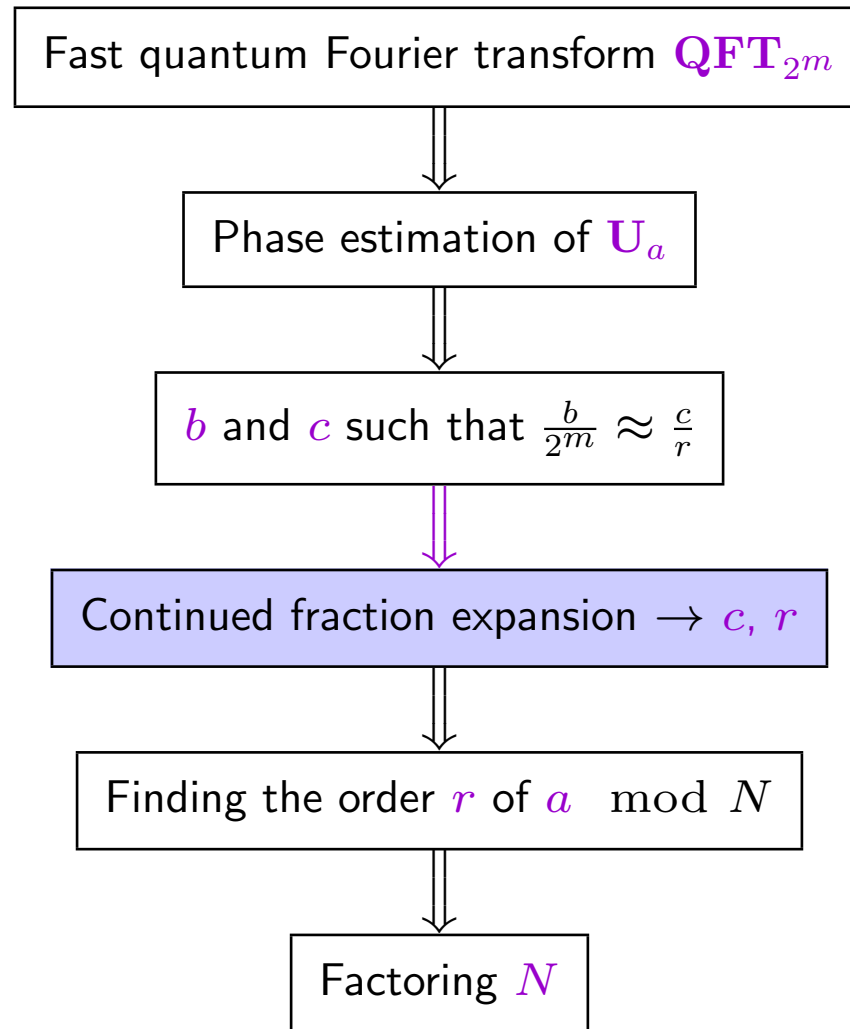
Operator norm of a matrix \mathbf{M} defined by $\|\mathbf{M}\| \stackrel{\text{def}}{=} \sup_{\mathbf{x}: \|\mathbf{x}\|=1} \|\mathbf{M}\mathbf{x}\|$
 Distance between two matrices \mathbf{A} and \mathbf{B} defined as $\|\mathbf{A} - \mathbf{B}\|$

1. compute $\|\mathbf{R}_s - \mathbf{Id}_2\|$
2. compute $\|\mathbf{c-R}_s - \mathbf{Id}_4\|$
3. compute $\|\mathbf{c-R}_s \otimes \mathbf{Id}_{2^{m-2}} - \mathbf{Id}_{2^m}\|$
4. if $\mathbf{U} = \mathbf{U}_1 \cdots \mathbf{U}_t$ and $\mathbf{U}' = \mathbf{U}_1 \cdots \mathbf{U}_{j-1} \mathbf{U}_{j+1} \cdots \mathbf{U}_t$ and the \mathbf{U}_i 's are unitary, show that $\|\mathbf{U} - \mathbf{U}'\| = \|\mathbf{Id} - \mathbf{U}_j\|$
5. Let \mathbf{U}'' be the unitary where we dropped k \mathbf{U}_i 's in the product defining \mathbf{U} . Give an upper-bound on $\|\mathbf{U} - \mathbf{U}''\|$
6. Give a quantum circuit of gate complexity $O(m \log m)$ at distance $\leq 1/n$ from QFT_{2^m}

The global picture



The global picture



Information obtained from the phase estimation measurement

- ▶ Eigenvalue $\theta = \frac{c}{r}$ for some integer c
- ▶ Phase estimation measurement $\rightarrow b$ such that

$$\left| \frac{b}{2^m} - \frac{c}{r} \right| \leq \frac{1}{2^{m+1}}$$

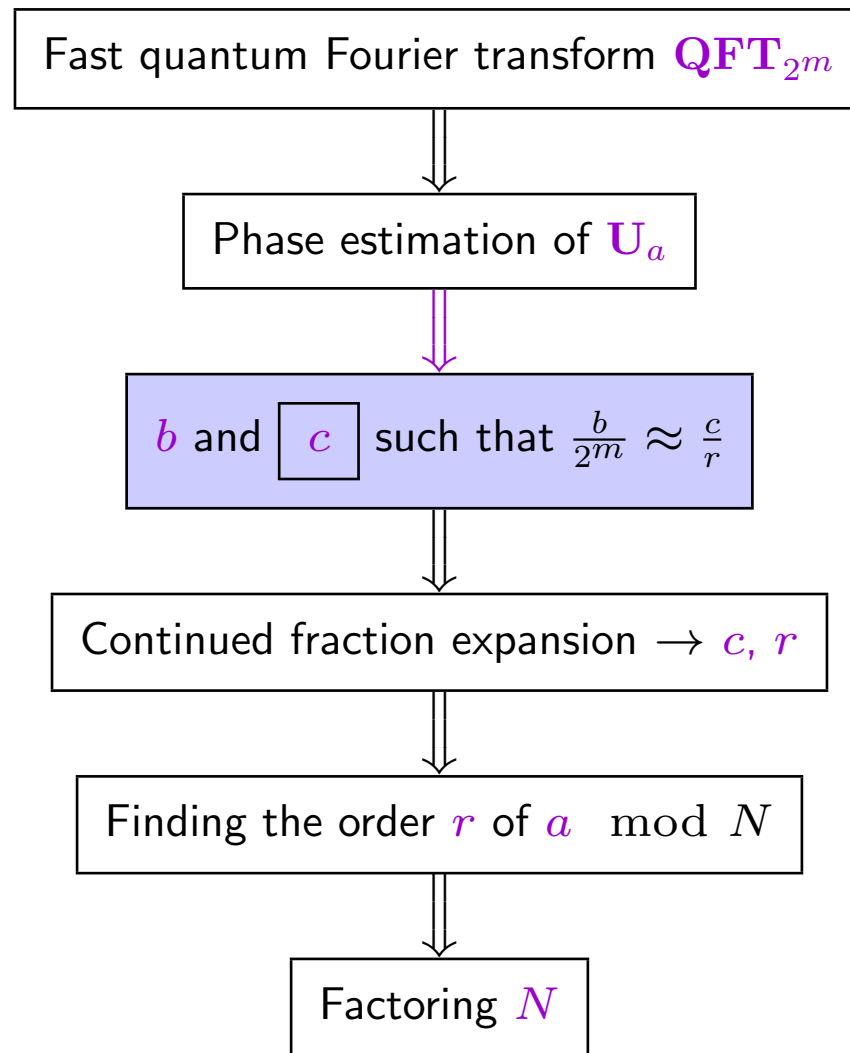
- ▶ Choose m as

$$2^{m-1} \leq N^2 < 2^m$$

- ▶ Two distinct fractions each with denominator $\leq N$ must be at distance $\geq \frac{1}{N^2} > \frac{1}{2^m}$
- ▶ Therefore c/r is the **only** fraction with denominator $\leq N$ at distance $\leq \frac{1}{2^{m+1}}$ from $b/2^m$
 \Rightarrow obtained by **continued fraction expansion**

$$\frac{b}{q} = a_0 + \frac{1}{a_1 + \frac{1}{a_2 + \frac{1}{\dots}}} \quad \frac{p_n}{q_n} = a_0 + \frac{1}{a_1 + \frac{1}{a_2 + \frac{1}{\dots + \frac{1}{a_n}}}}$$

The global picture



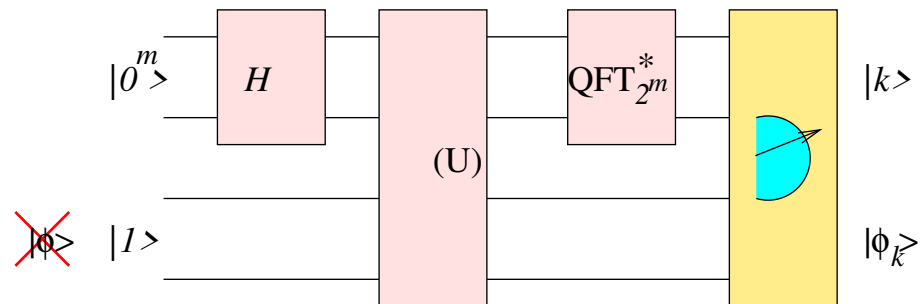
Which $|\phi\rangle$?

$$U_a : |x\rangle \mapsto |ax\rangle$$

$$U_a |\phi_k\rangle = e^{2i\pi k/r} |\phi_k\rangle$$

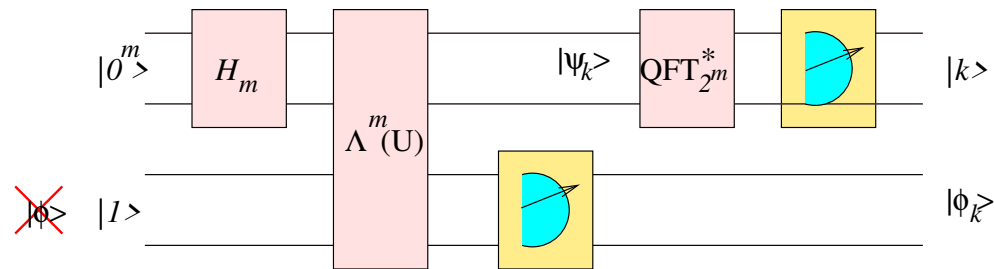
► Which $|\phi_k\rangle$?

► Answer: none! Instead of an eigenvector $|\phi\rangle$ just $|1\rangle$!



Why does this work?

$$\begin{aligned}
 \frac{1}{\sqrt{r}} \sum_{k=0}^{r-1} |\phi_k\rangle &= \frac{1}{r} \sum_{k=0}^{r-1} \sum_{l=0}^{r-1} e^{-2i\pi kl/r} |a^l\rangle \\
 &= |a^0\rangle \\
 &= |1\rangle
 \end{aligned}$$



► If we run the phase estimation on $|1\rangle = \frac{1}{\sqrt{r}} \sum_{k=0}^{r-1} |\phi_k\rangle$ then

just before measurement : $\frac{1}{\sqrt{r}} \sum_{k=0}^{r-1} |\psi_k\rangle |\phi_k\rangle$

after first measurement $\rightarrow |\psi_k\rangle |\phi_k\rangle$ with prob. $1/r$