

# Lattice coding over AWGN channel

## - Introduction -

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## Course Material



John Horton Conway and Neil James Alexander Sloane.  
*Sphere-Packings, Lattices, and Groups.*  
Springer-Verlag New York, Inc., 1987.



David Forney.  
Lattice and Trellis Codes - Lectures 24 and 25  
<http://ocw.mit.edu>, 2005.

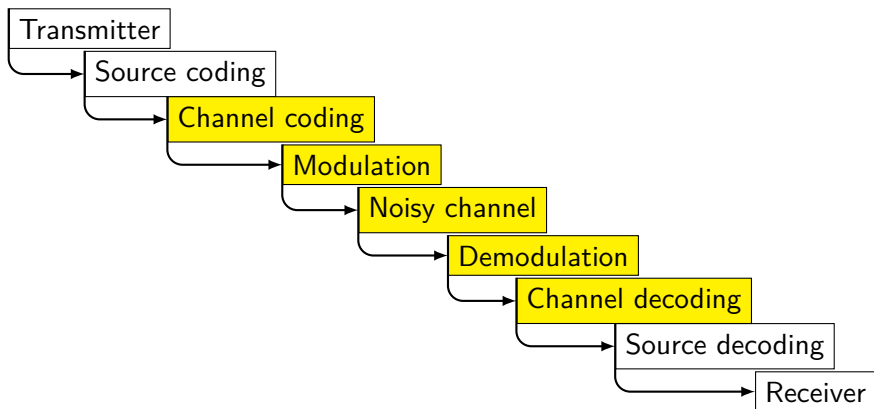
## Sphere Packing, Lattices

These objects are studied in different areas.

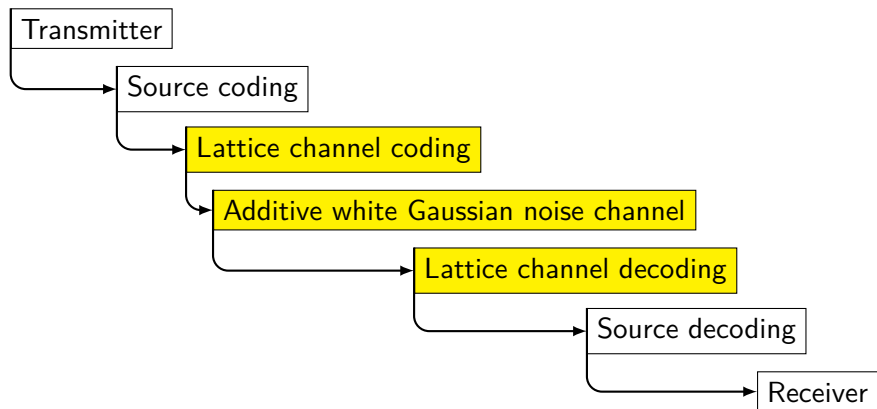
- mathematics
- analog-to-digital conversion
- data compression
- design of error-correcting codes
- digital signature
- data encryption
- cristallography
- ...

They have both a theoretical and practical interest.

# Communication Channel



## Today Example : Lattice Communication Channel



## Nyquist-Shannon sampling theorem (1949)

### Theorem

*Let  $f$  be a signal (i.e. a function of time) contain no frequencies higher than cutoff frequency,  $W$  hertz. It is completely determined by giving its ordinates at a series of points spaced  $\frac{1}{2W}$  second apart.*

Guess  $f$  has **almost** all of its energy in  $[0, T]$ .

Sample  $f$  each  $\frac{1}{2W}$  second during  $T$  seconds.

Set  $F = (f(0), f(\frac{1}{2W}), f(\frac{2}{2W}), \dots, f(\frac{n-1}{2W}))$  where  $n = 2TW$ .

We obtain  $f$  from  $F$  with the **cardinal series** :

$$f(t) = \sum_{i=0}^{\infty} f\left(\frac{i}{2W}\right) \frac{\sin(2\pi W(t - i/2W))}{2\pi W(t - i/2W)}.$$

## Signal energy and average power

Let  $\mathcal{E}_f$  be the **energy** in  $f(t)$ .

$$\mathcal{E}_f := \int_{-\infty}^{\infty} |f(t)|^2 dt$$

### Proposition

$$\mathcal{E}_f = \frac{1}{2W} \sum_{i=0}^{n-1} f^2\left(\frac{i}{2W}\right)$$

Let  $\mathcal{P}$  be the **average power** in  $f(t)$ .

$$\mathcal{P} := \frac{1}{T} \mathcal{E}_f$$

## Norm and signal energy

Let  $\|\cdot\|$  be the **Euclidean norm** or length.

$$\|F\|^2 := F \cdot F$$

Let us remind  $n = 2TW$ .

### Proposition

$$\|F\|^2 = 2W\mathcal{E}_f = n\mathcal{P}$$

The squared length is **proportionnal** to the energy in  $f(t)$ .

$F$  is on the  $n$ -sphere of radius  $\sqrt{n\mathcal{P}}$  centered at the origin.



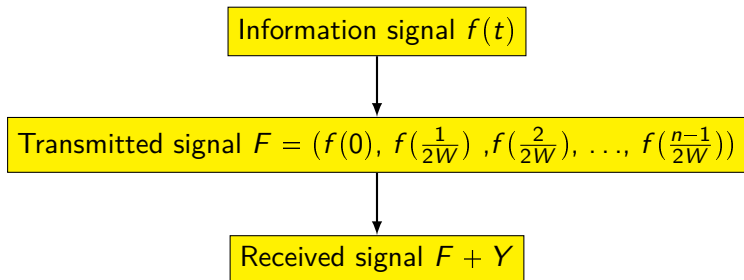
## Additive white Gaussian noise channel

The AWGN channel transmits **continuous signals**.

Let  $Y = (Y_i)_{1 \leq i \leq n}$  be a family of *i.i.d* random variables.

Guess  $Y_i \hookrightarrow \mathcal{N}(0, \sigma^2)$  for all  $1 \leq i \leq n$ .

$\sigma^2$  is the **average power of the noise**.



## Error-correcting codes over AWGN channel

For the AWGN channel, the code  $\mathcal{C}$  is a set of points in  $\mathbb{R}^n$ .

Denote  $M$  the cardinality of  $\mathcal{C}$ .

The **rate** of the code is :

$$R = \frac{1}{T} \log_2(M) \text{ bits/s}$$

Each codeword represents a **signal of bandwidth  $W$  and duration  $T$** .

Notice the rate is sometimes defined as :

$$R = \frac{1}{n} \log_2(M) \text{ bits/dimension}$$

## Reducing noise effects over AWGN channel

We want a code with big minimum distance to correct numerous errors.

**But**, keep in mind, we have a **power constraint** on the signal.

Indeed, the squared length is proportionnal to the energy in the signal.

Thus, it could be too costly.

**Noisy-channel coding theorem** ensures the existence of a solution.

**But**, it does not exhibit a way to construct it.

## Noisy-channel coding theorem

Let  $P_e$  be the **error probability**, that is, the probability of a decoding error.

The **signal-to-noise ratio** SNR is equal to  $\frac{\mathcal{P}}{\sigma^2}$ .

### Theorem

*For any rate  $R < C = W \log_2(1 + \text{SNR})$  bits/s with  $T$  and thus  $n = 2WT$  sufficiently large, there exists a code of rate  $R$ , average power  $\mathcal{P}$ , for which  $P_e$  is arbitrarily small.*

*Conversely, such codes do not exist for rates  $R \geq C$ .*

$C$  is called the **channel capacity** or the **Shannon limit**.

The **spectral efficiency**  $\eta$  is equal to  $\frac{R}{W}$ . It is measured in *bit/s/Hz*.

In practice, we often use :  $\text{SNR}_{dB} = 10 \log_{10} \text{SNR}$ .

## Error probability

Let  $\mathcal{C} = \{c_1, \dots, c_M\}$ . Let  $V(x)$  be the **Voronoi cell** of any  $x \in \mathcal{C}$ .

Let  $x$  be the sent codeword and  $y$  the received word.

$y$  is correctly decoded if and only if  $y \in V(x)$ .

$$P(y \in V(x)) = \frac{1}{(\sigma\sqrt{2\pi})^n} \int_{V(x)} e^{-\frac{1}{2}\left(\frac{x}{\sigma}\right)^2} dx$$

Assume each codeword has the same probability to be sent (**uniformity**).

$$P_e = 1 - \frac{1}{M} \sum_{i=1}^M P(y \in V(c_i))$$

## Gaussian channel coding problem

### Error-correcting code version

*Find a  $n$ -dimensional code  $\{c_1, \dots, c_M\}$  such that*

$$\|c_i\|^2 \leq n\mathcal{P} \text{ for } i = 1, \dots, M$$

*for which  $P_e$  is minimized.*

A lattice  $\Lambda \subseteq \mathbb{R}^n$  is a **discrete additive subgroup of the Euclidean space**  $\mathbb{R}^n$ .

- (additive subgroup)  $\Lambda \subseteq \mathbb{R}^n$  is closed under subtraction
- (discrete) There is an  $\epsilon > 0$  such that any two distinct lattice points  $x \neq y \in \Lambda$  are at distance at least  $\epsilon$ . There is **no accumulation point**.

It is also a free  $\mathbb{Z}$ -module (free abelian group).

A **free module** is a module which possess a basis.

The cardinal of a basis is the **rank** of the module. It is often understood as the dimension.

The cardinal of a lattice is **infinite**.

Counterexample :  $\mathbb{Q}^n$  and  $\mathbb{Z} + x\mathbb{Z}$  with  $x \in \mathbb{R} \setminus \mathbb{Q}$  are not lattices.

0 is an accumulation point.

Let  $B = (b_1, b_2, \dots, b_k)$  be a **basis of a lattice**  $\Lambda$  with dimension  $k$  in  $\mathbb{R}^n$ .

Let  $b_{i,j}$  be the  $j$ -th coordinate the  $n$ -coordinates vector  $b_i$ .

$$G := \begin{pmatrix} b_{1,1} & b_{1,2} & b_{1,3} & \dots & b_{1,n} \\ b_{2,1} & b_{2,2} & b_{2,3} & \dots & b_{2,n} \\ \vdots & \vdots & \vdots & & \vdots \\ b_{k,1} & b_{k,2} & b_{k,3} & \dots & b_{k,n} \end{pmatrix}$$

$G$  is a **generator matrix** of  $\Lambda$ .

$$\Lambda = \mathbb{Z}^k G$$

The  $k$ -order matrix  $A := GG^t$  is called the **Gram matrix**.

The  $(i,j)^{th}$  entry of  $A$  is equal to  $b_i \cdot b_j$ .



The **fundamental region**  $\Pi$  of  $\Lambda$  is :

$$\left\{ \lambda_1 b_1 + \dots + \lambda_k b_k : 0 \leq \lambda_i < 1, \forall i \in \llbracket 1, k \rrbracket \right\}$$

We can choose different bases of  $\Lambda$  and thus different fundamental regions.

**But**, the volume of fundamental regions is **invariant**.

It is named the (fundamental) **volume** of  $\Lambda$ .

$$\text{Vol}(\Pi) = \sqrt{|\text{Det}(\textit{Gram})|}$$

If  $\Lambda$  has **full-rank**, that is  $k = n$ , then  $\text{Vol}(\Pi) = |\text{Det}(B)|$ .

Assume the code forms a **lattice**.

Then, all the Voronoi cells are **congruent** to a polytope  $V$ .

$V$  has the **same volume** than  $\Pi$ .

$$P_e = 1 - \frac{1}{(\sigma\sqrt{2\pi})^n} \int_V e^{-\frac{1}{2}\left(\frac{x}{\sigma}\right)^2} dx$$

### Lattice version

*Find a  $n$ -dimensional lattice of volume 1 for which  $P_e$  is minimized.*

Toy example : In 1D, there is only one lattice of volume 1.

This is an **integer lattice**  $\mathbb{Z}$ . Not to be confused with an **integral lattice**.

**But**, for real-life examples, we upper bound  $P_e$  with simpler expressions.

## Main lattice problems

### ■ Geometrical problems

- Packing : densest packing of equal non-overlapping spheres
- Covering : thinnest covering of equal overlapping spheres
- Quantizing : closest point of a set from a received point

### ■ Communication problem

- Channel Coding : power-constrained code with minimum  $P_e$

## Sphere packings, lattices and codes

A sphere packing is described by the set of centers and their radius.

When the set of centers form a lattice, it is a [lattice packing](#).

Lattice packings will be often understood as lattices.

[Coded modulation](#) systems can be obtained from a lattice.

We focus on some of them : [lattice constellations](#) or [lattice codes](#).

Sphere packings and particularly lattices can be constructed from codes.

There often exist different constructions for a same lattice.

## Lattice parameters (contd)

Let  $V_n$  be the volume of the  $n$ -dimensional unit sphere.

The radius of the spheres in a lattice is the **packing radius**  $\rho$ .

The **density** of  $\Lambda$  is the proportion of the space occupied by the spheres :

$$\Delta := \frac{V_n \rho^n}{\text{Vol}(\Pi)}$$

The larger the volume, the sparser the lattice.

The **center density** of  $\Lambda$  is :

$$\delta := \frac{\Delta}{V_n}$$

In 2D, the hexagonal lattice  $A_2$  is the densest packing.

In 3D, the face-centered cubic lattice  $A_3$  is the densest lattice.

But, it is not known if it is the densest packing.

## Construction A (Leech, 1964)

Let  $\mathcal{C}$  be a binary code with minimum Hamming distance  $d$  and  $x \in \mathbb{R}^n$ .

$x$  belongs to the set of centers  $\Leftrightarrow x$  is congruent to a word of  $\mathcal{C}$  modulo 2

If  $\mathcal{C}$  is linear, the sphere packing forms a lattice.

If two distinct centers are congruent, their distance is at least 2.

Else, their Hamming distance is  $\geq d$ , then their distance is  $\geq \sqrt{d}$ .

$$\rho \geq \frac{1}{2} \min(2, \sqrt{d})$$

This construction gives the densest sphere packings up to dimension 15.

Let us mention the  $E_8$  lattice, for instance.

The minimum Hamming distance is always 1.

## Construction A with $\mathbb{Z}[i]$ -lattices

A  $\mathbb{Z}[i]$ -lattice  $\Lambda \subseteq \mathbb{C}^n$  is a free discrete  $\mathbb{Z}[i]$ -module of  $\mathbb{C}^n$ .

We consider the lattice is generated by a basis  $\{b_1, \dots, b_n\}$  of  $\mathbb{C}^n$  as :

$$\Lambda = \left\{ \sum_{i=1}^n a_i b_i : \forall a_i \in \mathbb{Z}[i] \right\}$$

Let  $\mathcal{C}$  be a binary linear code and  $x \in \mathbb{C}^n$ .

$x$  belongs to the lattice  $\Leftrightarrow x$  is congruent to a word of  $\mathcal{C}$  modulo  $1 + i$

The minimum Hamming distance is **always 1**.

To sum up.

For real lattices :  $\Lambda = \mathcal{C} + 2\mathbb{Z}^n$ .

For  $\mathbb{Z}[i]$ -lattice :  $\Lambda = \mathcal{C} + (1 + i)\mathbb{Z}[i]^n$ .

The real construction can be extended for linear codes over rings  $\mathbb{Z}/q\mathbb{Z}$ .

In this way, we obtain the lattice  $\Lambda = \mathcal{C} + q\mathbb{Z}^n$ .

Notice  $(1 + i)$  is a **Gaussian prime** whereas 2 is not since  $2 = (1 + i)(1 - i)$  and 2 does not divide any factor on the right.

So  $(1 + i)$  is a prime in  $\mathbb{Z}[i]$ , it has norm  $|1 + i| = 2$  and thus we have :

$$\mathbb{Z}[i]/(1 + i)\mathbb{Z}[i] \cong \mathbb{F}_2$$

We can also use Eisenstein integers,  $\mathbb{Z}[\omega]$ -lattices,  $\omega = e^{i2\pi/3}$ .



## Other constructions

Let us mention some variants of construction A. We only give keywords.

- $A_f$ , linear codes, real lattices, symmetric bilinear form
- $A_\phi$ ,  $\mathbb{Z}[e^{i\pi/4}]$ -lattices, code over  $\mathbb{F}_9$ , hermitian bilinear form

## Construction B (Leech, 1964)

Let  $\mathcal{C}$  be an **even** binary code with minimum **Hamming distance**  $d$  and  $x = (x_1, \dots, x_n) \in \mathbb{R}^n$ .

$x$  belongs to the set of centers

$$\begin{array}{c} \Downarrow \\ \left\{ \begin{array}{l} x \text{ is congruent to a word of } \mathcal{C} \text{ modulo } 2 \\ \sum_{i=1}^n x_i \equiv 0 \pmod{4} \end{array} \right\} \end{array}$$

If  $\mathcal{C}$  is linear, the sphere packing forms a lattice.

The minimum Hamming distance is **always 1**.

## Construction C (Leech, 1964)

Most of the time, it gives non-lattice packings.

Construction D is a modified version which produces lattices .

The construction  $D$  generalizes the construction  $A$  with linear codes .

It rests upon a **nested** family of binary **linear** codes.

It always produces lattices.

Examples : Take  $n = 2^m$ .

- Consider  $n$ -length Reed-Muller codes  $\mathcal{R}(2r, m)$ .

For  $0 \leq s \leq t \leq m$ ,  $\mathcal{R}(s, m) \subseteq \mathcal{R}(t, m)$ .

It is a way to get the  $n$ -dimensional **Barnes-Wall** lattice  $BW_n$ .

- Consider  $n$ -length **extended** BCH codes of designed distance  $\delta$ .

For  $1 \leq \delta_1 \leq \delta_2 \leq n$ ,  $BCH(\delta_2) \subseteq BCH(\delta_1)$ .

We obtain the  $n$ -dimensional  $B_n$  lattices.

## Construction D (Barnes & Sloane, 1983)

Let  $\mathcal{C}_i$  be a  $[n, k_i, d_i]$  binary linear code for  $i \in \llbracket 0, a \rrbracket$ . Let

$\mathbb{F}_2^n = \mathcal{C}_0 \supset \mathcal{C}_1 \supset \dots \supset \mathcal{C}_a$ . Let  $(c_1, \dots, c_n)$  be a row basis of  $\mathbb{F}_2^n$  such that :

- $c_1, \dots, c_{k_i}$  spans  $\mathcal{C}_i$  for  $i \in \llbracket 0, a \rrbracket$ .
- we can build an upper triangular matrix by permuting  $c_j$  for  $j \in \llbracket 0, n \rrbracket$

Let us define :

- $\bar{\sigma}_i : \mathbb{F}_2 \rightarrow \mathbb{R}, x \mapsto \frac{x}{2^{i-1}}$
- $\sigma_i : \mathbb{F}_2^n \rightarrow \mathbb{R}^n, x \mapsto (\bar{\sigma}_i(x_1), \bar{\sigma}_i(x_2), \dots, \bar{\sigma}_i(x_n))$

A lattice in  $\mathbb{R}^n$  is defined by the vectors  $x$  such that :

$$x = \sum_{i=1}^a \sum_{j=1}^{k_i} b_{i,j} \sigma_i(c_j) + y$$

where  $b_{i,j} \in \{0, 1\}$  and  $y \in 2\mathbb{Z}^n$ .

The minimum Hamming distance is **always 1**.

## Construction D' (Barnes & Sloane, 1983)

Let  $\mathcal{C}_i$  be a  $[n, n - r_i, d_i]$  binary linear code for  $i \in \llbracket 0, a \rrbracket$ . Let  $\mathcal{C}_0 \supset \mathcal{C}_1 \supset \dots \supset \mathcal{C}_a$ . Let  $(h_1, \dots, h_n)$  be a row basis of  $\mathbb{F}_2^n$  such that :

- $h_1, \dots, h_{r_i}$  give **parity check equations** defining  $\mathcal{C}_i$  for  $i \in \llbracket 0, a \rrbracket$ .
- we can build an upper triangular matrix by permuting  $h_i$  for  $i \in \llbracket 0, n \rrbracket$

Let us define :

- $r_{-1} = 0$

A lattice in  $\mathbb{Z}^n$  is defined by the vectors  $x$  such that :

$$h_j \cdot x \equiv 0 \pmod{2^{i+1}}$$

where  $i \in \llbracket 0, a \rrbracket$  and  $r_{a-i-1} + 1 \leq j \leq r_{a-i}$ .

## Craig's lattice

$$A_n^{(m)} = \Delta^{m-1} A_n$$

where  $\Delta = \begin{pmatrix} 1 & -1 & 0 & \cdots & 0 & 0 \\ 0 & 1 & -1 & \cdots & 0 & 0 \\ \vdots & \vdots & \vdots & \cdots & \vdots & \vdots \\ 0 & 0 & 0 & \cdots & 1 & -1 \\ 1 & 0 & 0 & \cdots & 0 & 1 \end{pmatrix}$  is a matrix of order  $n$ .

→ densest known packings for  $148 \leq n \leq 3000$  are Craig's lattices when  $n + 1$  is prime and  $m$  is the nearest integer to  $1/2 \frac{n}{\ln(n+1)}$ .

## Construction E in two words (Bos, Conway & Sloane, 1982)

It generalizes construction  $D$  amongst others.

It inputs :

- a lattice  $\Lambda$  in  $\mathbb{R}^N$
- a nested family of  $n$ -length **additive** codes  $(\mathcal{C}_i)_{0 \leq i \leq a}$  over an **elementary abelian group** ( $\cong \mathbb{F}_p^b$  for  $p$  prime and  $b$  integer).

It is a **recursive** construction in two meanings :

- It outputs a **nested family of lattices**  $(\Lambda_i)_{0 \leq i \leq a}$  in  $\mathbb{R}^{nN}$  with  $\Lambda_0 = \Lambda^n$ .  
For some function  $f$ ,  $\Lambda_i = f(\Lambda_{i-1})$  and  $\Lambda_{i-1} \subset \Lambda_i$  for  $i \in \llbracket 1, a \rrbracket$ .
- It can be applied to the densest lattice  $\Lambda_a$ .

A lattice obtained by applying construction E to  $\Lambda$  is named  $\eta(\Lambda)$ .

This construction give **the densest known lattices in high dimension**.



## Construction E through example

$$\Lambda = \mathbb{Z}^2, \mathcal{C}_0 = \mathbb{F}_2^2, \mathcal{C}_1 = \{00, 11\}$$

$$\Downarrow$$
$$D_4$$
$$\Downarrow$$

$$\Lambda_4 = D_4, \Lambda_8 = E_8, \Lambda_{12}, \Lambda_{16}, \Lambda_{20} \text{ with } n = 1, \dots, 5.$$

The  $(u, u + v)$  construction is an algebraic construction.

Let  $\mathcal{C}_1$  and  $\mathcal{C}_2$  be a  $[n, k_1, d_1]$  (resp.  $[n, k_2, d_2]$ ) linear codes.

It gives a  $[2n, k_1 + k_2, \min(2d_1, d_2)]$  code :

$$\left\{ (u, u + v) : u \in \mathcal{C}_1, v \in \mathcal{C}_2 \right\}$$

Denote  $\mathbb{1}$ , the all-ones vector.

$$\mathcal{R}(1, 1) = \mathbb{F}_2^2$$

$$\mathcal{R}(1, m) = \left\{ (u, u), (u, u + \mathbb{1}) : u \in \mathcal{R}(1, m - 1) \right\}$$

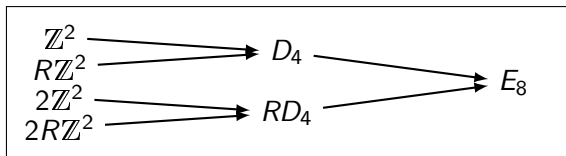
$(u, u + v)$  construction (contd)

Let  $\Lambda_1$  and  $\Lambda_2$  be two lattices in  $\mathbb{R}^n$ .

The  $(u, u + v)$  construction gives a lattice in  $\mathbb{R}^{2n}$  :

$$\left\{ (u, u + v) : u \in \Lambda_1, v \in \Lambda_2 \right\}$$

Set  $R := \begin{pmatrix} 1 & 1 \\ 1 & -1 \end{pmatrix}$  and  $BW_2 := \mathbb{Z}^2$ .



$$R^j BW_{2m+1} = \left\{ (u, u + v) : u \in R^j BW_{2m}, v \in R^{j+1} BW_{2m} \right\}$$

## Current status

Most of the densest sphere packings are lattice packings.

Some non-lattice packings are denser than the densest **known** lattice packing.

We ignore if there exists a non-lattice packing denser than the densest lattice packing.

## Density and error probability

In practice, lattice codes which minimizes  $P_e$  correspond to densest lattice. Nevertheless, sphere packing problem and channel coding problem differ. Both asks to maximize the packing radius  $\rho$ .

**But**, channel coding problem involves another parameter.

It requires to **minimize** the **average number of code points at distance  $2\rho$** .

Notice, the minimum distance  $d = 2\rho$ .

The **minimum squared distance  $d^2$**  is also important parameter of a lattice.

Since  $0 \in \Lambda$ ,  $d^2$  is equal to the minimum squared length.

The **coding gain** of a code  $\mathcal{C}_1$  over a code  $\mathcal{C}_2$  of minimum distance  $d_1$  (resp.  $d_2$ ) and average energy  $\mathcal{E}_1$  (resp.  $\mathcal{E}_2$ ) is equal to

$$\gamma(\mathcal{C}_1, \mathcal{C}_2) := \frac{d_1^2 \mathcal{E}_2}{d_2^2 \mathcal{E}_1}$$

The (nominal) **coding gain** of a lattice  $\Lambda$  over the integral lattice  $\mathbb{Z}^n$  is :

$$\gamma_c(\Lambda) := 4\delta_n^{\frac{2}{n}} = \frac{d^2}{\text{Vol}(\Pi)^{\frac{2}{n}}}$$

The **Hermite's constant** is :

$$\gamma_n := 4\delta_n^{\frac{2}{n}}$$

where  $\delta_n$  is the center density of the densest lattice in  $\mathbb{R}^n$ .

- permutation codes
- group codes
- spherical codes
- trellis modulation (convolutional code + geometrical channel code)
- lattice codes
- ...

Lattice codes can achieve the capacity  $\frac{1}{2} \log_2(1 + \text{SNR})$  bits/dimension.

R. Urbanke & B. Rimoldi,  
Lattice codes can achieve capacity on the AWGN channel,  
IEEE Transactions on Information Theory, Vol. 44, Nr. 1, pp. 273-278, 1998

## Lattice constellation

Let  $\Lambda$  be a lattice in  $\mathbb{R}^n$ ,  $\lambda \in \mathbb{R}^n$  and  $\mathcal{R}$  be a compact **region** of  $\mathbb{R}^n$ .

$\Lambda + \lambda$  is a **coset** or translated of  $\Lambda$ .

A lattice constellation  $C(\Lambda, \mathcal{R})$  is the finite set :

$$C(\Lambda, \mathcal{R}) = (\Lambda + \lambda) \cap \mathcal{R}$$

Notice, if  $\lambda \in \Lambda$ , then  $\Lambda + \lambda = \Lambda$ . (**geometrical uniformity**)



## Region parameters

The volume of  $\mathcal{R}$  is :

$$\text{Vol}(\mathcal{R}) = \int_{\mathcal{R}} dx$$

The average energy per dimension of a **uniform pdf** over  $\mathcal{R}$  is :

$$\mathcal{E}(\mathcal{R}) = \int_{\mathcal{R}} \frac{\|x\|^2}{n} \frac{dx}{\text{Vol}(\mathcal{R})}$$

The **normalized second moment** of a uniform pdf over  $\mathcal{R}$  is :

$$\mathcal{G}(\mathcal{R}) = \frac{\mathcal{E}(\mathcal{R})}{\text{Vol}(\mathcal{R})^{\frac{2}{n}}}$$

$\mathcal{G}(\mathcal{R})$  is **invariant to scaling, orthogonal transformations, Cartesian products.**

## Baseline Example

Take  $n = 1$ ,  $m \in \mathbb{Z}$ ,  $\mathcal{R} = [-1, 1]$ .

$$\text{Vol}(\mathcal{R}) = 2$$

$$\mathcal{E}(\mathcal{R}) = \int_{-1}^1 \frac{\|x\|^2}{2} dx = \frac{1}{3}$$

$$\mathcal{G}(\mathcal{R}^m) = \frac{1}{12}$$

## Total coding gain

The coding gain measures the increase in density over  $\mathbb{Z}^n$  :

$$\gamma_c(\Lambda) := 4\delta_n^{\frac{2}{n}} = \frac{d^2}{\text{Vol}(\Pi)^{\frac{2}{n}}}$$

The **shaping gain** of the region is defined as :

$$\gamma_s(\mathcal{R}) := \frac{1/12}{\mathcal{G}(\mathcal{R})}$$

It measures the decrease in average energy of  $\mathcal{R}$  over a cube centered in 0.

The total coding gain is

$$\gamma_{tot} = \gamma_c(\Lambda)\gamma_s(\mathcal{R})$$

*“[Euclidean-space coding] is to [Hamming-space coding] as classical music is to rock and roll.”*

*N. J. A. Sloane, Shannon Lecture*

## Changelog

- 1 lattice constellations denoted  $C(\Lambda, \mathcal{R})$  instead of  $\mathcal{C}$ .
- 2 an integer lattice is  $\subset \mathbb{Z}^n$
- 3 generator matrix  $k \times n$  instead of  $n \times k$
- 4 Constructions A,B,C,D,E