

# Approximations of a combining function and parity check equations

**Anne Canteaut and Maria Naya-Plasencia**

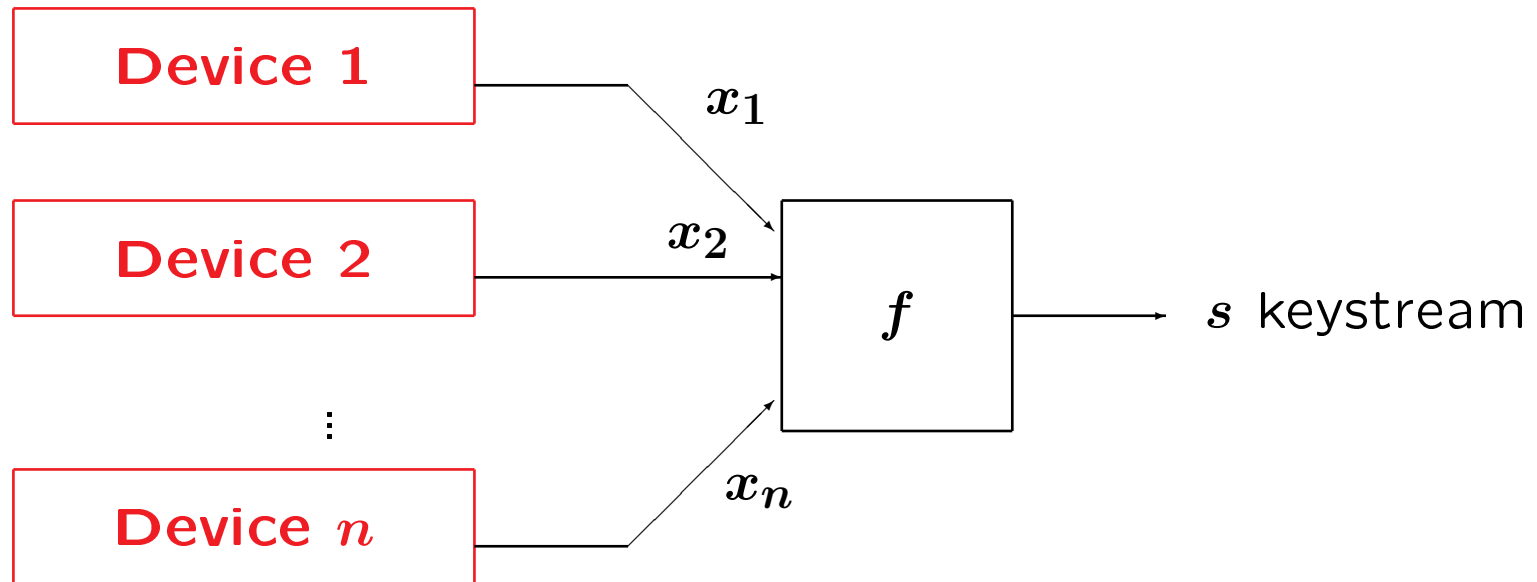
INRIA Paris-Rocquencourt  
SECRET team (SEcurité, CRyptologie Et Transmissions)  
Domaine de Voluceau  
78153 Le Chesnay - France

Journées C2 2008

# Outline

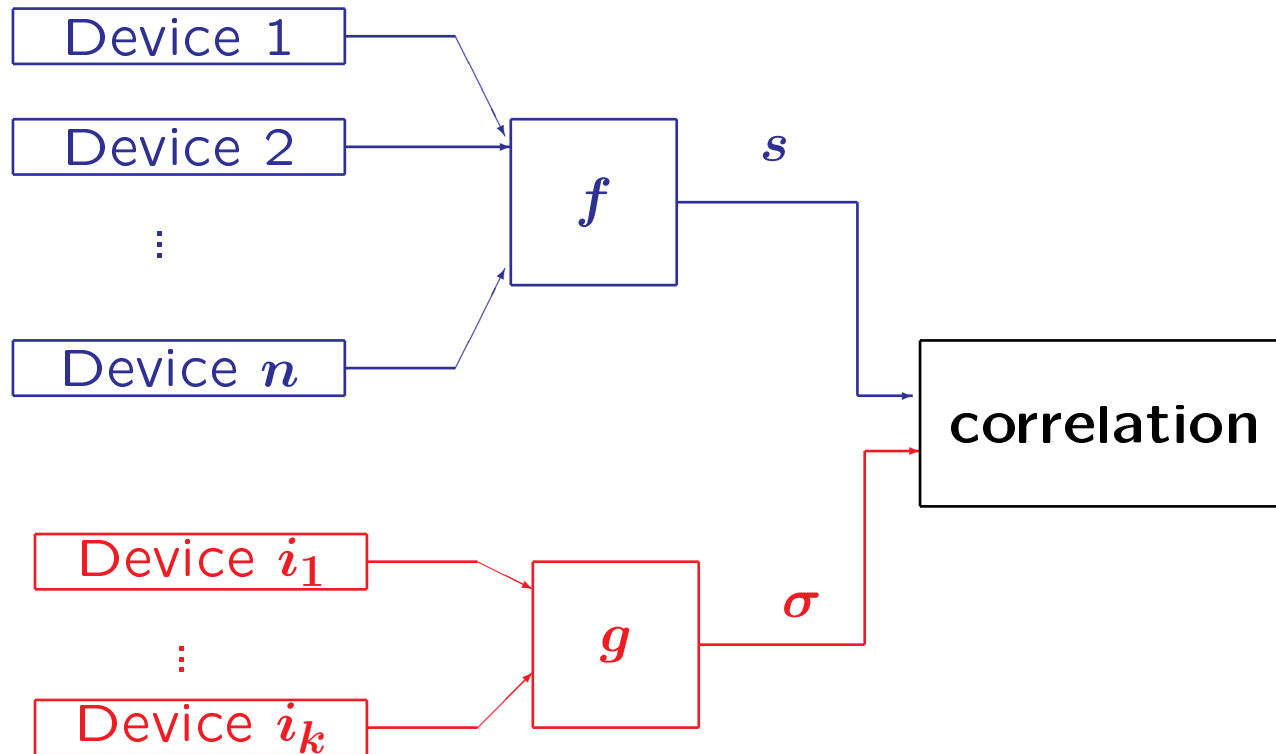
1. Divide-and-conquer attacks against some stream ciphers
2. Some attacks against Achterbahn-80
3. On the bias of parity check equations
4. Resilient functions

## Combination generators for additive stream ciphers



where each  $x_i$  has period  $T_i$ .

## Divide-and-conquer attack involving $k$ constituent devices



where  $\Pr[f(X_1, \dots, X_n) = g(X_{i_1}, \dots, X_{i_k})] > \frac{1}{2}$ .

## Resilient functions

**Definition** A Boolean function  $f$  is  $t$ -resilient if

$$\Pr[f(X_1, \dots, X_n) = g(X_{i_1}, \dots, X_{i_k})] = \frac{1}{2}$$

for any  $k \leq t$  and for any function  $g$  of  $k$  variables.

The order of resiliency is the highest  $t$  such that  $f$  is  $t$ -resilient.

$\implies$  we have to consider  $t + 1$  devices together.

## Building parity-check relations [Johansson-Meier-Muller 06]

**Property 1.**  $x_1x_2 \dots x_s$  has period  $T_1T_2 \dots T_s$ .

**Property 2.** Let  $\sigma(t) = \sum_{i=1}^s x_i$  and

$$\mathcal{T} = \left\{ \sum_{i=1}^s c_i T_i, \quad c_i \in \{0, 1\} \right\}.$$

Then, for any  $t \geq 0$ ,

$$\sum_{\tau \in \mathcal{T}} \sigma(t + \tau) = 0.$$

**Example.** For  $\sigma = x_1 + x_2$ :

$$\sigma(t) + \sigma(t + T_1) + \sigma(t + T_2) + \sigma(t + T_1 + T_2) = 0, \quad \forall t \geq 0.$$

## Building parity-check relations [Johansson-Meier-Muller 06]

Let  $\sigma = g(x_{i_1}, \dots, x_{i_k})$ .

For  $g = \sum_{i=1}^m m_i(x_{i_1}, \dots, x_{i_k})$ , let us consider

$$\mathcal{T} = \left\{ \sum_{i=1}^m c_i m_i(T_{i_1}, \dots, T_{i_k}), \quad c_i \in \{0, 1\} \right\}.$$

Then,

$$\sum_{\tau \in \mathcal{T}} \sigma(t + \tau) = 0.$$

## Distinguishing attack [Johansson-Meier-Muller 06]

Let  $s = f(x_1, \dots, x_n)$  where

$$\Pr[f(X_1, \dots, X_n) = g(X_{i_1}, \dots, X_{i_k})] = \frac{1}{2}(1 + \varepsilon) \text{ with } \varepsilon > 0.$$

For  $g = \sum_{i=1}^m m_i(x_{i_1}, \dots, x_{i_k})$  and

$$\mathcal{T} = \left\{ \sum_{i=1}^m c_i m_i(T_{i_1}, \dots, T_{i_k}), \quad c_i \in \{0, 1\} \right\}.$$

Then,

$$\Pr \left[ \sum_{\tau \in \mathcal{T}} s(t + \tau) = 0 \right] \geq \frac{1}{2}(1 + \varepsilon^{2^m}).$$

### Complexity:

Time complexity  $\simeq \varepsilon^{-2^{m+1}} \times 2^m$

Data complexity  $\simeq \varepsilon^{-2^{m+1}} + g(T_{i_1}, \dots, T_{i_k})$



## Decimation by the period of a sequence [Hell-Johansson 06]

For  $g = x_{i_j} + \sum_{i=1}^{m'} m_i(x_{i_1}, \dots, x_{i_k})$ , let us consider

$$\mathcal{T}' = \left\{ \sum_{i=1}^{m'} c_i m_i(T_{i_1}, \dots, T_{i_k}), \quad c_i \in \{0, 1\} \right\}.$$

Then,

$$\Pr\left[ \sum_{\tau \in \mathcal{T}'} s(t + \tau) = \sum_{\tau \in \mathcal{T}'} x_{i_j}(t + \tau) \right] \geq \frac{1}{2}(1 + \epsilon^{2^{m'}}),$$

implying

$$\Pr\left[ \sum_{\tau \in \mathcal{T}'} s(t\mathbf{T}_{i_j} + \tau) = \text{cst} \right] \geq \frac{1}{2}(1 + \epsilon^{2^{m'}}),$$

### Complexity:

Time complexity  $\simeq \epsilon^{-2^{m'+1}} \times 2^{m'}$

Data complexity  $\simeq \epsilon^{-2^{m'+1}} \mathbf{T}_{i_j} + g'(T_{i_1}, \dots, T_{i_k})$

## Initial state recovery [Johansson-Meier-Muller 06]

For  $g = \sum_{j=1}^s x_{i_j} + \sum_{i=1}^{m'} m_i(x_{i_1}, \dots, x_{i_k})$ , let us consider

$$\mathcal{T}' = \left\{ \sum_{i=1}^{m'} c_i m_i(T_{i_1}, \dots, T_{i_k}), \quad c_i \in \{0, 1\} \right\}.$$

Then,

$$\Pr\left[ \sum_{\tau \in \mathcal{T}'} s(t + \tau) + \sum_{j=1}^s \sum_{\tau \in \mathcal{T}'} x_{i_j}(t + \tau) = 0 \right] \geq \frac{1}{2}(1 + \epsilon^{2^{m'}}).$$

### Attack:

Perform an exhaustive search for the initial states of Dev  $i_1, \dots, i_s$ .  
For each possible initial state, compute the parity-check equations.

### Complexity:

Data complexity  $\simeq \epsilon^{-2^{m'+1}} 2 \ln 2 (L_{i_1} + \dots + L_{i_s}) + g'(T_{i_1}, \dots, T_{i_k})$

Time complexity  $\simeq \epsilon^{-2^{m'+1}} 2 \ln 2 (L_{i_1} + \dots + L_{i_s}) \times 2^{m'} \times 2^{L_{i_1} + \dots + L_{i_s}}$

## Achterbahn-80 [Gammel-Göttfert-Kniffler06]

11 NLFSRs of length  $L_i = 21 + i$  and of period  $T_i = 2^{L_i} - 1$ ,  $1 \leq i \leq 11$ .

$f$ : 6-resilient combining function of degree 4:

$$\begin{aligned} & x_1 + x_2 + x_3 + x_4 + x_5 + x_7 + x_9 + x_{11} + x_2x_{10} + x_2x_{11} + x_4x_8 + \\ & x_5x_6 + x_6x_8 + x_6x_{10} + x_6x_{11} + x_7x_8 + x_8x_9 + x_8x_{10} + x_9x_{10} + x_9x_{11} + \\ & x_1x_2x_8 + x_1x_4x_{10} + x_1x_4x_{11} + x_1x_8x_9 + x_1x_9x_{10} + x_1x_9x_{11} + x_2x_3x_8 + \\ & x_2x_4x_8 + x_2x_4x_{10} + x_2x_4x_{11} + x_2x_7x_8 + x_2x_8x_{10} + x_2x_8x_{11} + x_2x_9x_{10} + \\ & x_2x_9x_{11} + x_3x_4x_8 + x_3x_8x_9 + x_4x_7x_8 + x_4x_8x_9 + x_5x_6x_8 + x_5x_6x_{10} + \\ & x_5x_6x_{11} + x_6x_8x_{10} + x_6x_8x_{11} + x_7x_8x_9 + x_8x_9x_{10} + x_8x_9x_{11} + x_1x_2x_3x_8 + \\ & x_1x_2x_7x_8 + x_1x_3x_5x_8 + x_1x_3x_8x_9 + x_1x_4x_8x_{10} + x_1x_4x_8x_{11} + x_1x_5x_7x_8 + \\ & x_1x_7x_8x_9 + x_1x_8x_9x_{10} + x_1x_8x_9x_{11} + x_2x_3x_4x_8 + x_2x_3x_5x_8 + x_2x_4x_7x_8 + \\ & x_2x_4x_8x_{10} + x_2x_4x_8x_{11} + x_2x_5x_7x_8 + x_2x_8x_9x_{10} + x_2x_8x_9x_{11} + x_3x_4x_8x_9 + \\ & x_4x_7x_8x_9 + x_5x_6x_8x_{10} + x_5x_6x_8x_{11} \end{aligned}$$

## First attack against Achterbahn-80

### Quadratic approximation:

$$x_1 + x_2 + x_7 + x_3x_{10} + x_4x_9, \quad \varepsilon = 2^{-5}.$$

$$\mathcal{T} = \{c_1T_3T_{10} + c_2T_4T_9, \quad c_1, c_2 \in \{0, 1\}\}$$

- Decimation by  $T_7$
- Exhaustive search on R1 and R2.

For  $\sigma = x_1 + x_2$ ,

$$s(tT_7) + s(tT_7 + T_3T_{10}) + s(tT_7 + T_4T_9) + s(tT_7 + T_3T_{10} + T_4T_9) = \\ \sigma(tT_7) + \sigma(tT_7 + T_3T_{10}) + \sigma(tT_7 + T_4T_9) + \sigma(tT_7 + T_3T_{10} + T_4T_9) + \text{cst}$$

with bias  $\geq 2^{-20}$ .

$$\text{Data complexity} = 2^{74} \quad \text{Time complexity} = 2^{91}.$$

## First attack against Achterbahn-80 [Hell-Johansson06]

The exact bias of

$$s(t\mathbf{T}_7) + s(t\mathbf{T}_7 + \mathbf{T}_3\mathbf{T}_{10}) + s(t\mathbf{T}_7 + \mathbf{T}_4\mathbf{T}_9) + s(t\mathbf{T}_7 + \mathbf{T}_3\mathbf{T}_{10} + \mathbf{T}_4\mathbf{T}_9) = \\ \sigma(t\mathbf{T}_7) + \sigma(t\mathbf{T}_7 + \mathbf{T}_3\mathbf{T}_{10}) + \sigma(t\mathbf{T}_7 + \mathbf{T}_4\mathbf{T}_9) + \sigma(t\mathbf{T}_7 + \mathbf{T}_3\mathbf{T}_{10} + \mathbf{T}_4\mathbf{T}_9) + \text{cst}$$

is not  $2^{-20}$  but  $2^{-12}$ .

Then,

$$\text{Data complexity} = 2^{58.3} \quad \text{Time complexity} = 2^{75}.$$

## Second attack against Achterbahn-80 [Naya-Plasencia06]

Linear approximation:

$$x_1 + x_2 + x_7 + (x_3 + x_{10}) + (x_4 + x_9), \quad \varepsilon = 2^{-3}.$$

$$\mathcal{T} = \{c_1 T_3 T_{10} + c_2 T_4 T_9, \quad c_1, c_2 \in \{0, 1\}\}$$

- Decimation by  $T_7$
- Exhaustive search on R1 and R2.

For  $\sigma = x_1 + x_2$ ,

$$s(tT_7) + s(tT_7 + T_3 T_{10}) + s(tT_7 + T_4 T_9) + s(tT_7 + T_3 T_{10} + T_4 T_9) = \\ \sigma(tT_7) + \sigma(tT_7 + T_3 T_{10}) + \sigma(tT_7 + T_4 T_9) + \sigma(tT_7 + T_3 T_{10} + T_4 T_9) + \text{cst}$$

with bias  $\geq 2^{-12}$ .

$$\text{Data complexity} = 2^{58.3} \quad \text{Time complexity} = 2^{75}.$$

## Related issues

- Is the exact bias always given by the bias of the linear approximation?
- Can we get a better result with higher degree approximations?
- Can we build better parity checks (higher bias) from approximations with more than  $t+1$  variables?

## Reminder on parity-check relations

$$\begin{aligned}h(x_1, \dots, x_n) &= f(x_1, \dots, x_n) + g(x_{j_1}, \dots, x_{j_s+k}) \\ &= f'(x_1, \dots, x_n) + g'(x_{j_1}, \dots, x_{j_s})\end{aligned}$$

has bias  $\varepsilon$ .

$$pc(t) = \sum_{\tau \in \mathcal{I}} h(t + \tau) = \sum_{\tau \in \mathcal{I}} f'(t + \tau).$$

$$\Pr[pc(t) = 0] \geq \frac{1}{2}(1 + \varepsilon^{2^m}).$$



## Examples on building parity-check relations

- $g_1(x_1, x_2, x_3, x_4, x_7, x_9, x_{10}) = x_1 + x_2 + x_7 + x_3x_{10} + x_4x_9$   
 $h_1(x_1, \dots, x_{11}) = f'(x_1, \dots, x_{11}) + x_3x_{10} + x_4x_9. \varepsilon = 2^{-5}.$
- $g_2(x_1, x_2, x_3, x_4, x_7, x_9, x_{10}) = x_1 + x_2 + x_7 + x_3 + x_{10} + x_4 + x_9$   
 $h_2(x_1, \dots, x_{11}) = f'(x_1, \dots, x_{11}) + x_3 + x_{10} + x_4 + x_9. \varepsilon = 2^{-3}.$

$$\begin{aligned} pc(t) &= h_i(t) + h_i(t + T_3T_{10}) + h_i(t + T_4T_9) + h_i(t + T_3T_{10} + T_4T_9) \\ &= f'(t) + f'(t + T_3T_{10}) + f'(t + T_4T_9) + f'(t + T_3T_{10} + T_4T_9), \end{aligned}$$

$$\varepsilon = 2^{-12}.$$

## Building parity-check relations from one approximation

- $g_2(x_1, x_2, x_3, x_4, x_7, x_9, x_{10}) = x_1 + x_2 + x_7 + x_3 + x_{10} + x_4 + x_9$   
 $h_{2_i}(x_1, \dots, x_{11}) = f'_i(x_1, \dots, x_{11}) + g'_j(x_{j_1}, \dots, x_{j_s}). \epsilon = 2^{-3}.$

$$pc_1(t) = f'_1(t) + f'_1(t + T_3T_{10}) + f'_1(t + T_4T_9) + f'_1(t + T_3T_{10} + T_4T_9)$$

$$\epsilon \geq 2^{-12}.$$

$$pc_2(t) = f'_1(t) + f'_1(t + T_3) + f'_1(t + T_4T_{10}T_9) + f'_1(t + T_3 + T_4T_{10}T_9)$$

$$\epsilon \geq 2^{-12}.$$

$$pc_3(t) = f'_3(t) + f'_3(t + T_1T_2T_7) + f'_3(t + T_3T_4T_9T_{10}) + f'_3(t + T_1T_2T_7 + T_3T_4T_9T_{10})$$

$$\epsilon \geq 2^{-12}.$$

- Any parity check can be generated by an affine approximation/function.
- What is the exact bias of each pc(t)?

## Approximation of a resilient function

**Theorem** [Canteaut-Trabbia 00] [Zhang 00]

Let  $f$  be  $t$ -resilient function of  $n$  variables. Then, for any  $K$  of size  $t + 1$  the best approximation is achieved by the **affine function**

$$\sum_{i \in K} x_i + \varepsilon, \varepsilon \in \{0, 1\} .$$

## Bias of parity-checks involving $(t + 1)$ variables

### Theorem [Naya-Plasencia 07]

Let  $f$  be  $t$ -resilient function. The bias of any parity-check equation built from a  $(t + 1)$ -variable linear approximation of  $f$  with bias  $\epsilon$  is  $\epsilon^M$  where  $M$  is the number of terms in the parity-check equation.

## Examples of parity-checks involving $(t + 1)$ variables

- $g_2(x_1, x_2, x_3, x_4, x_7, x_9, x_{10}) = x_1 + x_2 + x_7 + x_3 + x_{10} + x_4 + x_9$   
 $h_{2_i}(x_1, \dots, x_{11}) = f'_i(x_1, \dots, x_{11}) + g'_j(x_{j_1}, \dots, x_{j_s}). \epsilon = 2^{-3}.$

$$pc_1(t) = f'_1(t) + f'_1(t + T_3T_{10}) + f'_1(t + T_4T_9) + f'_1(t + T_3T_{10} + T_4T_9)$$

$$\epsilon = 2^{-12}.$$

$$pc_2(t) = f'_1(t) + f'_1(t + T_3) + f'_1(t + T_4T_{10}T_9) + f'_1(t + T_3 + T_4T_{10}T_9)$$

$$\epsilon = 2^{-12}.$$

$$pc_3(t) = f'_3(t) + f'_3(t + T_1T_2T_7) + f'_3(t + T_3T_4T_9T_{10}) + f'_3(t + T_1T_2T_7 + T_3T_4T_9T_{10})$$

$$\epsilon = 2^{-12}.$$

$$pc_4(t) = f'_4(t) + f'_4(t + T_1T_3T_7), \epsilon = 2^{-6}.$$

## What happens with $t + k$ variables when $k > 1$ ?

- $f(x_1, x_2, x_3) = x_1x_2 + x_2x_3 + x_1x_3$ . 0-resilient.

- We consider  $g = x_1 + x_2$ , with  $\varepsilon = 0$ .

- We build the parity check associated to that function:

$$pc(t) = f(t) + f(t + T_1) + f(t + T_2) + f(t + T_1T_2)$$

- $\Pr[pc(t) = 0] = \frac{1}{2}(1 + 2^{-3}) \neq \frac{1}{2}(1 + 0^4) = 0$ .

## Bias of parity-checks involving $(t + k)$ variables

- $h'(x_1, \dots, x_n) = f(x_1, \dots, x_n) + x_1 + \dots + x_{t+1}$ , with  $\varepsilon'$ .
- $h(x_1, \dots, x_n) = h'(x_1, \dots, x_n) + x_{t+2} + \dots + x_{t+k}$ , with  $\varepsilon$ .

$$pc(t) = \sum_{\tau_1 \in \langle T_{t+2}, \dots, T_{t+k} \rangle} \sum_{\tau_2 \in \langle T_1, \dots, T_{t+1} \rangle} f(t + \tau_1 + \tau_2)$$

$$\Pr[pc(t) = 0] \geq \frac{1}{2}(1 + \varepsilon' 2^{t+k}).$$

where  $\varepsilon' = \max_{\alpha, wt(\alpha)=t+1} \varepsilon(f + \alpha(x_1, \dots, x_{t+k}))$

## Previous example with 0 + 2 variables

- With the 0-resilient function  $f$ :

$$f(x_1, x_2, x_3) = x_1x_2 + x_2x_3 + x_1x_3.$$

$x_1$  is an approximation of  $f$  with bias  $\varepsilon = 2^{-1}$ .

- We consider the previously defined parity check, that can be derived from  $g = x_1 + x_2$ , that has a bias  $\varepsilon_g = 0$ .

$$pc(t) = f(t) + f(t + T_1) + f(t + T_2) + f(t + T_1T_2)$$

- $\Pr[pc(t) = 0] = \frac{1}{2}(1 + 2^{-3}) \geq \frac{1}{2}(1 + (2^{-1})^{2^2}) = \frac{1}{2}(1 + 2^{-4})$ .
- Question: is it possible that

$$\Pr[pc(t) = 0] > \frac{1}{2}(1 + \varepsilon'^{2^M})?$$



## Trade-off on divide-and-conquer attacks

- At the attacks of the type that we have described, to find the best complexity we have to make a trade-off between several parameters affecting time complexity and data complexity.
- With a combining function  $f(x_1, \dots, x_n)$  we can build a parity check equation with the highest possible bias,  $\varepsilon = 1$ , and with the lowest possible number of terms:

$$f(t) + f(t + T_1 T_2 \dots T_n).$$

This parity check equation needs  $T_1 T_2 \dots T_n$  bits of keystream to be computed. In the case of Achterbahn as in the case of all the other reasonable algorithms, this quantity is much too high.

## Conclusions

So we have found some information about the bias of parity checks when using  $t$ -resilient combining functions, which will be the case in cryptographic applications as the one described.

For a  $t$ -resilient combining function:

- the bias of any parity-check relation involving  $(t + 1)$  variables is derived from the bias of the corresponding linear approximation.
- the bias of any parity-check relation involving more than  $(t + 1)$  variables has a lower bound that is the bias of its corresponding best linear approximation of  $t + 1$  variables raised to the number of terms of the parity check.